

PUBLIC RECORD

## SECTION A COMPANY INFORMATION

### A-1 Company representative and location

1. Please nominate a contact person within your company:

Name: **Echo DENG**  
Position in the company: **Lead Tendering Engineer**  
Telephone: **+86 27 89669642**  
E-mail address: **echo.deng@ge.com**

2. If you have appointed a representative, provide the their contact details:

Name: **Russell Wiese, Hunt & Hunt Lawyers**  
Address: **Level 5, 114 William Street, Melbourne, Vic, 3000**  
Telephone: **03 8602 9231**  
E-mail address: **rwiese@huntvic.com.au**

*In nominating a representative, you are granting authority to the Commission to discuss matters relating to the case with the nominated representative, including your company's confidential information.*

3. Please provide the location of the where the company's financial records are held.  
GE reply: **Financial records are held in the factory, No.92 Hanshi Road, Yangluo Development Zone, Wuhan, Hubei, China.**
4. Please provide the location of the where the company's production records are held.  
GE reply: **Financial records are held in the factory, No.92 Hanshi Road, Yangluo Development Zone, Wuhan, Hubei, China.**

### A-2 Company information

1. What is the legal name of your business?  
GE reply: **Legal name is: GE High Voltage Equipment (Wuhan) Co., Ltd**
2. Does your company trade under a different name and/or brand? If yes, provide details.  
GE reply: **Not Applicable.**
3. Was your company ever known by a different legal and/or trading name? If yes, provide details  
GE reply: **Yes. Up until 19 April 2017 the company was known as Alstom High Voltage Equipment (Wuhan) Co., Ltd**
4. Provide a list of your current board of directors and any changes in the last two years.  
GE reply: **Latest board of directors was revised in Jul. 2018 as per the below list. Please refer to appendix "A-2.4 record filing notice of foreign-funded enterprise" for more details.**  
Chairman: [REDACTED]  
Supervisor: [REDACTED]  
General Manager: [REDACTED]  
Director: [REDACTED]
- [NAME OF CHAIRMAN, SUPERVISOR, GENERAL MANAGER AND DIRECTORS]  
[DOCUMENT CONFIDENTIAL]
5. Is your company part of a group (e.g. parent company with subsidiaries, common ownership, joint-ventures)? If yes, provide:  
(a) A diagram showing the complete ownership structure; and  
(b) A list of all related companies and its functions

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GE reply: GE High Voltage Equipment (Wuhan) Co., Ltd (hereafter named as "GE Wuhan") is wholly foreign owned enterprises.

Shareholder: [REDACTED]  
[REDACTED] [DETAIL OF PARENT COMPANY] General Electric Company, a public company listed on the New York Stock Exchange.

Neither a complete ownership structure diagram or a description on all related companies and functions has not been provided due to the size and nature of General Electric Company. This includes the fact that General Electric company has many different business areas completely unrelated to the production of power transformers. We have noted in other parts of this questionnaire if goods or services are provided by related companies.

Please let us know if the Commission requires any other information about General Electric Company.

6. Is your company or parent company publically listed?

If yes, please provide:

- (a) The stock exchange where it is listed; and
- (b) Any principle shareholders<sup>1</sup>

If no, please provide:

- (a) A list of all principal shareholders and the shareholding percentages.

GE reply: GE High Voltage Equipment (Wuhan) Co., Ltd is not publically listed.

Principal shareholders [REDACTED] [DETAIL OF PARENT COMPANY]

The ultimate holding company is General Electric Company which is listed on the New York Stock Exchange. The principal shareholders of that company are Vanguard Group Inc, Blackrock Inc, State Street Corp and FMR LLC.

7. What is the overall nature of your company's business? Include details of the products that your company manufacture and sell and the market your company sells into.

GE reply: scope of business: purchase, design, manufacture, assemble, test and sell high voltage and ultra-high voltage electrical equipment. The equipment includes power transformers with the largest transformers having [REDACTED]

[REDACTED]  
[REDACTED] [DETAILS OF PRODUCT RANGE] In addition, the company provides [REDACTED]  
[REDACTED]

[DETAILS OF PRODUCTS AND SERVICES OFFERED]

The Company provides its products and services into the following markets: [REDACTED]  
[REDACTED] [DETAILS OF THE MARKETS IN WHICH THE COMPANY OPERATES] The company makes both domestic and export sales.

8. If your business does not perform all of the following functions in relation to the goods under consideration, then please provide names and addresses of the companies which perform each function:

- (a) produce or manufacture;
- (b) sell in the domestic market;

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<sup>1</sup> Principal shareholders are those who are able to cast, or control the casting of, 5% or more of the maximum amount of votes that could be cast at a general meeting of your company.



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- (c) export to Australia; and
- (d) export to countries other than Australia.

GE reply: The Company performs all of the above functions.

9. Provide your company's internal organisation chart.

GE reply: Internal organization chart as below:

[ORGANISATIONAL CHART OF COMPANY]

Describe the functions performed by each group within the organisation.

[DESCRIPTION OF THE FUNCTIONS AND SERVICES PERFORMED IN EACH GROUP WITHIN THE COMPANY]

10. Does your company produce brochures, pamphlets or other promotional material? If yes, please provide them

GE reply: leaflet refer to appendix "A-2.10\_Wuhan ID card 2018".

[DOCUMENT CONFIDENTIAL]

### A-3 General accounting information

1. What is your financial accounting period?

GE reply: Financial accounting period is calendar year from 01 Jan. to 31 Dec.

2. Are your financial accounts audited? If yes, who is the auditor?

GE reply: yes, auditor of years 2016 to 2018 was [REDACTED]. [NAME OF AUDITOR]

3. What currency are your accounts kept in?

GE reply: currency is CNY.

4. What is the name of your financial accounting system?

GE reply: financial accounting system is SAP.

5. What is the name of your sales system?

GE reply: sales system is SAP.

6. What is the name of your production system?

GE reply: production system is SAP.

7. If your financial accounting, sales and production systems are different, how do the systems interact? Is it electronically or manual? Please provide a detailed explanation and include diagrams.

GE reply: Not Applicable.

8. Do your accounting practices differ in any way from the generally accepted accounting principles in your country? If yes, please provide details.

GE reply: no difference.

9. Have there been any changes to your accounting practices and/or policies over the last two years? If yes, please provide details.

[REDACTED]

[DETAIL OF INTERNAL ACCOUNTING PRACTICES]

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## A-4 Financial Documents

1. Please provide the four (4) most recently completed annual reports and/or financial statements for your company and any other related companies involved in the production and sale of the goods.  
GE reply: please refer to appendix A-4.1 for four most recently completed annual reports of GE Wuhan being 2014 - 2017. Only the financial reports of the Company are listed as no related companies are involved in the production and sale of the goods by the Company.

[DOCUMENTS ARE CONFIDENTIAL]

2. If the financial statements in A-4.1 are unaudited, provide for each company:
  - (a) the tax returns relating to the same period; and
  - (b) reconciliation of the revenue, cost of goods sold, and net profit before tax between the financial statements and tax returns.GE reply: Not Applicable.
3. Does your company maintain different profit centres? If yes, provide profit & loss statements for the profit centre that the goods falls into for the financial years ending 2014 through to 2018. If your financial year does not end on 31 December, then provide data up to 31 December 2018.  
GE reply: No.
4. If the period is different to your financial period, please provide:  
GE reply: Not Applicable.
  - (a) Income statements directly from your accounting information system covering the most recent financial period and the period; or
  - (b) Quarterly or half yearly income statements directly from your accounting system covering the most recent financial period and the period.
5. Please provide a copy of your company's trial balance covering the 4 most recent financial years. If your financial year does not end on 31 December, then provide data up to 31 December 2018.  
GE reply: trial balance from 2015 to 2018 please refer to appendix A-4.5 TB.

[DOCUMENTS ARE CONFIDENTIAL]

6. Please provide your company's chart of accounts.  
GE reply: chart of accounts please refer to appendix A-4.6

[DOCUMENTS ARE CONFIDENTIAL]

*If any of the documents are not in English, please provide a complete translation of the documents.*