



Australian Government
Department of Industry,
Innovation and Science

Anti-Dumping
Commission

Exporter Questionnaire Siemens Transformer (Jinan) Co., Ltd

Case number: Investigation 507

Product: Power Transformers

From: The People's Republic of China

Investigation period: 1 January 2016 to 31 December 2018 (the period)

Response due by: 24 April 2019

Case manager: Reuben McGovern

Phone: +61 3 8539 2437

Return completed questionnaire to:
investigations3@adcommission.gov.au

Anti-Dumping Commission website: www.adcommission.gov.au

SECTION A COMPANY INFORMATION

A-1 Company representative and location

1. Please nominate a contact person within your company:

C/o Siemens Ltd. ACN 004 347 880 (Siemens Regional Company Australia)

Name: [REDACTED]

Position in the company: General Counsel

Address: 885 Mountain Hwy, Bayswater VIC 3153

Telephone: [REDACTED]

Facsimile number: [REDACTED]

E-mail address of contact person: [REDACTED]

Confidential contact details

2. If you have appointed a representative, provide the their contact details:

C/o Siemens Ltd. (Siemens Regional Company Australia)

Name: [REDACTED]

Position in the company: General Counsel

Address: 885 Mountain Hwy, Bayswater VIC 3153

Telephone: [REDACTED]

Facsimile number: [REDACTED]

E-mail address of contact person [REDACTED]

Confidential contact details

In nominating a representative, you are granting authority to the Commission to discuss matters relating to the case with the nominated representative, including your company's confidential information.

3. Please provide the location of the where the company's financial records are held.

Address: No. 10, Weihua West Road,
Shizhong District,
Jinan Shandong, P.R.China

4. Please provide the location of the where the company's production records are held.

Address: No. 10, Weihua West Road,
Shizhong District,
Jinan Shandong, P.R.China

A-2 Company information

1. What is the legal name of your business?

Siemens Transformer (Jinan) Co., Ltd. ("Siemens Jinan")

2. Does your company trade under a different name and/or brand? If yes, provide details.
No.

3. Was your company ever known by a different legal and/or trading name? If yes, provide details

Yes. The company name was Siemens Transformer Co. Ltd before Aug.24th,2011.

4. Provide a list of your current board of directors and any changes in the last two years.

Current: Andree soergel (Chairman), Zhang Qianfeng (Vice Chairman), Frank Langner, Wang JianLei

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2018: Andree soergel (Chairman), Mu Yonggang (Vice Chairman), Frank Langner, Wang JianLei, Markus Mildner

2017: Andree soergel (Chairman), Mu Yonggang (Vice Chairman), Frank Langner, Wang JianLei, Markus Mildner

5. Is your company part of a group (e.g. parent company with subsidiaries, common ownership, joint-ventures)? If yes, provide:
- (a) A diagram showing the complete ownership structure; and
 - (b) A list of all related companies and its functions

See [Confidential Attachment Siemens Jinan A1.5 Company Chart](#).

Siemens Jinan is a joint-venture established between Siemens Ltd., China (90%) and XD Jinan Transformer Group Co., Ltd (10%). Siemens Jinan has no subsidiaries.

6. Is your company or parent company publically listed?

If yes, please provide:

- (a) The stock exchange where it is listed; and
- (b) Any principle shareholders¹

If no, please provide:

- (a) A list of all principal shareholders and the shareholding percentages.

Siemens Jinan is a joint-venture established between Siemens Ltd., China (90%) and XD Jinan Transformer Group Co., Ltd (10%).

Siemens Ltd., China is wholly owned by Siemens Aktiengesellschaft. Siemens Aktiengesellschaft shares have been publicly listed since March 8, 1899. Today it is listed on the stock exchange in Frankfurt am Main as well as Xetra.

With regard to Siemens AG's principal shareholder information please see [Attachment Siemens Jinan A1.6 Siemens AG principal shareholder information](#). This information is based on public notices under the German Securities Exchange Act – Wertpapierhandelsgesetz WpHG.

7. What is the overall nature of your company's business? Include details of the products that your company manufacture and sell and the market your company sells into.

Siemens Jinan is a manufacturing company and sells the products it manufactures and related products.

Specifically, Siemens Jinan is engaged in design and manufacture of transformers, reactors, pre-assembled substations and relevant products and providing relevant maintenance and technical consultation services, sales and marketing of the products produced by the company.

Siemens Jinan has both domestic customers and overseas customers. The overseas customers include e.g. customers in Asia, Australia, South America, and Africa etc.

8. If your business does not perform all of the following functions in relation to the goods under consideration, then please provide names and addresses of the companies which perform each function:

¹ Principal shareholders are those who are able to cast, or control the casting of, 5% or more of the maximum amount of votes that could be cast at a general meeting of your company.

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- (a) produce or manufacture;
- (b) sell in the domestic market;
- (c) export to Australia; and
- (d) export to countries other than Australia.

Not applicable. Siemens Jinan performs all the above business functions.

9. Provide your company's internal organisation chart.
See [Confidential Attachment Siemens Jinan A-2.9 Organisation Chart](#).
10. Describe the functions performed by each group within the organisation.
See [Confidential Attachment Siemens Jinan A-2.10 Function Description](#).
11. Does your company produce brochures, pamphlets or other promotional material? If yes, please provide them.
See [Attachment Siemens Jinan A-2.11 Siemens Jinan Brochure](#).

A-3 General accounting information

1. What is your financial accounting period?

Siemens global accounting period: 1 October to 30 September
Local Chinese accounting period: 1 January to 31 December
2. Are your financial accounts audited? If yes, who is the auditor?
Yes. Ernst & Young Hua Ming LLP audited the financial accounts based on Local Chinese accounting period.
3. What currency are your accounts kept in?
Chinese Yuan (CNY)
4. What is the name of your financial accounting system?
SAP
5. What is the name of your sales system?
SAP
6. What is the name of your production system?
SAP
7. If your financial accounting, sales and production systems are different, how do the systems interact? Is it electronically or manual? Please provide a detailed explanation and include diagrams.
Not applicable. Siemens Jinan uses the same system for financial accounting, sales and production systems.
8. Do your accounting practices differ in any way from the generally accepted accounting principles in your country? If yes, please provide details.
No. Audited financial statements are based on Chinese Local GAAP.
9. Have there been any changes to your accounting practices and/or policies over the last two years? If yes, please provide details.
No.

A-4 Financial Documents

1. Please provide the four (4) most recently completed annual reports and/or financial statements for your company and any other related companies involved in the production and sale of the goods.
Audited Financial Statements are based on Chinese Local GAAP and accounting period 1 January to 31

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December

See [Confidential Attachment Siemens Jinan A-4.1 EY Audit 2018 EN](#)

See [Confidential Attachment Siemens Jinan A-4.1 EY Audit 2017 EN](#)

See [Confidential Attachment Siemens Jinan A-4.1 EY Audit 2016 EN](#)

See [Confidential Attachment Siemens Jinan A-4.1 EY Audit 2015 EN](#)

2. If the financial statements in A-4.1 are unaudited, provide for each company:

- (a) the tax returns relating to the same period; and
- (b) reconciliation of the revenue, cost of goods sold, and net profit before tax between the financial statements and tax returns.

N/A

3. Does your company maintain different profit centres? If yes, provide profit & loss statements for the profit centre that the goods falls into for the financial years ending 2014 through to 2018. If your financial year does not end on 31 December, then provide data up to 31 December 2018.

No, only one profit centre.

4. If the period is different to your financial period, please provide:

- (a) Income statements directly from your accounting information system covering the most recent financial period and the period; or
- (b) Quarterly or half yearly income statements directly from your accounting system covering the most recent financial period and the period.

N/A

5. Please provide a copy of your company's trial balance covering the 4 most recent financial years. . If your financial year does not end on 31 December, then provide data up to 31 December 2018.

See [Confidential Attachment Siemens Jinan A-4.5 Trial Balance 2018](#)

See [Confidential Attachment Siemens Jinan A-4.5 Trial Balance 2017](#)

See [Confidential Attachment Siemens Jinan A-4.5 Trial Balance 2016](#)

See [Confidential Attachment Siemens Jinan A-4.5 Trial Balance 2015](#)

6. Please provide your company's chart of accounts.

See [Confidential Attachment Siemens Jinan A-4.6 Chart of Accounts](#). This is the Siemens international chart of accounts, for local China GAAP accounts, please refer to the accounts starting with L in the trial balance.

If any of the documents are not in English, please provide a complete translation of the documents.