Exporter Questionnaire
Changzhou Toshiba Transformer Company Limited (CTC)

Case number: Investigation 507
Product: Power Transformers
From: The People's Republic of China
Investigation period: 1 January 2016 to 31 December 2018 (the period)
Response due by: 24 April 2019 [Section A Only, remainder extended until 6 May 2019]
Case manager: Reuben McGovern
Phone: +61 3 8539 2437
Return completed questionnaire to: investigations3@adcommission.gov.au
Anti-Dumping Commission website: www.adcommission.gov.au
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Check List

This section is an aid to ensure that you have completed all sections of this questionnaire.

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GOODS UNDER CONSIDERATION / GOODS SUBJECT TO ANTI-DUMPING MEASURES

The goods under consideration (the goods) i.e. the goods exported to Australia, allegedly at dumped prices, are:

Liquid dielectric power transformers with power ratings of equal to or greater than 10 MVA (mega volt amperes) and a voltage rating of less than 500kV (kilo volts) whether assembled or unassembled, complete or incomplete.

Incomplete transformers are sub-assemblies consisting of the active part and any other parts attached to, imported with or invoiced with the active parts of power transformers. The active part of a power transformer consists of one or more of the following when attached to or otherwise assembled with one another:

- the steel core;
- the windings;
- electrical insulation between the windings; and
- the mechanical frame.

The product definition includes step-up transformers, step-down transformers, autotransformers, interconnection transformers, voltage regulator transformers, rectifier transformers, traction transformers, trackside transformers and power rectifier transformers.

Distribution transformers are not the subject to the measures.
SECTION A
COMPANY INFORMATION

A-1 Company representative and location

1. Please nominate a contact person within your company:

<table>
<thead>
<tr>
<th>Name</th>
<th>Position in the company</th>
<th>Address</th>
<th>Telephone</th>
<th>E-mail address</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mr. Tang Shizhou</td>
<td>Sales Manager</td>
<td>86 Middle Longjiang Road Changzhou Jiangsu Province China</td>
<td>+86 519 83256040</td>
<td><a href="mailto:tangsz@cz-toshiba.com">tangsz@cz-toshiba.com</a></td>
</tr>
<tr>
<td>Mr. Shen Yong</td>
<td>Senior Manager - Sales</td>
<td>86 Middle Longjiang Road Changzhou Jiangsu Province China</td>
<td>+86 519 83256057</td>
<td><a href="mailto:shenyong@cz-toshiba.com">shenyong@cz-toshiba.com</a></td>
</tr>
</tbody>
</table>

2. If you have appointed a representative, provide their contact details:

<table>
<thead>
<tr>
<th>Name</th>
<th>Address</th>
<th>Telephone</th>
<th>E-mail address</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mr Andrew Percival</td>
<td>Percival Legal 1 Rickard Avenue Mosman NSW 2088</td>
<td>+61 425 221 036</td>
<td><a href="mailto:andrew.percival@percivallegal.com.au">andrew.percival@percivallegal.com.au</a></td>
</tr>
<tr>
<td>Mr Kevin Reilly</td>
<td>GTR Consulting 2 Julianne Close Bolwarra Heights NSW 2320</td>
<td>+61 411 439 366</td>
<td><a href="mailto:kevin@gtrconsulting.com.au">kevin@gtrconsulting.com.au</a></td>
</tr>
</tbody>
</table>

Please note that all communications in relation to this matter should be directed to Percival Legal in the first instance.

In nominating a representative, you are granting authority to the Commission to discuss matters relating to the case with the nominated representative, including your company’s confidential information.
3. Please provide the location of where the company’s financial records are held.
   Changzhou Toshiba Transformer Company Limited
   Longjiang Middle Road No. 86 Changzhou Jiangsu Province China

4. Please provide the location of where the company’s production records are held.
   Changzhou Toshiba Transformer Company Limited
   Longjiang Middle Road No. 86 Changzhou Jiangsu Province China

A-2 Company information
1. What is the legal name of your business?
   A: Changzhou Toshiba Transformer Company Limited (hereinafter referred as CTC).

2. Does your company trade under a different name and/or brand? If yes, provide details.
   A: No

3. Was your company ever known by a different legal and/or trading name? If yes, provide details
   A: No

4. Provide a list of your current board of directors and any changes in the last two years.
   A: There were no changes in 2017

   Changes that occurred during 2018 are as follows:

   Chairman:
   Change from [Confidential change to Chairman]

   Director:
   [Confidential changes to directors]

   Supervisor:
   [Confidential change in Supervisors]
List of directors in 2019:
Chairman: 
Vice Chairman: 
Directors in China side: 
Directors in Japan side: 
Supervisors: 

5. Is your company part of a group (e.g. parent company with subsidiaries, common ownership, joint-ventures)? If yes, provide:
   (a) A diagram showing the complete ownership structure; and
   
   A: CTC is an International Joint Venture
       Shangdong Electrical & Electronics Group Co., Ltd. 50%
       Toshiba Corporation 50%
   
       (b) A list of all related companies and its functions
   
   A: Shangdong Electrical & Electronics Group Co., Ltd. – Parent Company
       Toshiba Corporation – Parent Company

6. Is your company or parent company publicly listed?
   If yes, please provide:
   (a) The stock exchange where it is listed; and
   (b) Any principle shareholders 1
   
   A: Toshiba Corporation is publicly listed on Tokyo Stock Exchange
       and Nagoya Stock Exchange. Toshiba Corporation’s principal shareholders are Listed in its Annual Report, which is at
       

       State Grid Corporation of China holds 100% ownership of
       Shangdong Electrical & Electronics Group Co., Ltd., it is not publicly listed

   If no, please provide:
   (a) A list of all principal shareholders and the shareholding percentages.

7. What is the overall nature of your company's business? Include details of the products that your company manufacture and sell and the market your company sells into.

1 Principal shareholders are those who are able to cast, or control the casting of, 5% or more of the maximum amount of votes that could be cast at a general meeting of your company.

NON - CONFIDENTIAL
A: Refer to attached named ‘A-2.7 Catalogue’.

8. If your business does not perform all of the following functions in relation to the goods under consideration, then please provide names and addresses of the companies which perform each function:

(a) produce or manufacture;
(b) sell in the domestic market;
(c) export to Australia; and
(d) export to countries other than Australia.

A: CTC perform all the functions above

9. Provide your company’s internal organisation chart.

A: Refer to confidential attached named “A-2 Internal Organization Chart”.

10. Describe the functions performed by each group within the organisation.

A: Refer to confidential attached named “A-2 Internal Organization Chart”.

11. Does your company produce brochures, pamphlets or other promotional material? If yes, please provide them.

A: Refer to attached named ‘A-2.7 Catalogue’.

A-3 General accounting information

1. What is your financial accounting period?

A: From 1st January to 31st December

2. Are your financial accounts audited? If yes, who is the auditor?

A: Beijing Dadijintai Certified Public Accountant

3. What currency are your accounts kept in?

A: RMB.
4. What is the name of your financial accounting system?
   A: Kingdee Financial system.
5. What is the name of your sales system?
   A: N/A.
6. What is the name of your production system?
   A: TPICS system imported from Japan.
7. If your financial accounting, sales and production systems are different, how do the systems interact? Is it electronically or manual? Please provide a detailed explanation and include diagrams.
   A: They are not electronically linked, manual interaction
8. Do your accounting practices differ in any way from the generally accepted accounting principles in your country? If yes, please provide details.
   A: No, CTC’s accounting practices comply with generally accepted accounting principles in China
9. Have there been any changes to your accounting practices and/or policies over the last two years? If yes, please provide details.
   A: No

A-4 Financial Documents

1. Please provide the four (4) most recently completed annual reports and/or financial statements for your company and any other related companies involved in the production and sale of the goods.
   A: Please refer to confidential attached named “A-4.1Balance sheet”
2. If the financial statements in A-4.1 are unaudited, provide for each company:
   (a) the tax returns relating to the same period; and
   (b) reconciliation of the revenue, cost of goods sold, and net profit before tax between the financial statements and tax returns.
   A: CTC’s accounts are audited
3. Does your company maintain different profit centres? If yes, provide profit & loss statements for the profit centre that the goods fall into for the financial years ending 2014 through to 2018. If your financial year does not end on 31 December, then provide data up to 31 December 2018.
   A: N/A
4. If the period is different to your financial period, please provide:
(a) Income statements directly from your accounting information system covering the most recent financial period and the period; or
(b) Quarterly or half yearly income statements directly from your accounting system covering the most recent financial period and the period.

A: N/A

5. Please provide a copy of your company's trial balance covering the 4 most recent financial years. If your financial year does not end on 31 December, then provide data up to 31 December 2018.

A: Please refer to confidential attached named “A-4.1 Balance sheet”

6.

7. Please provide your company's chart of accounts.

A: Please refer to confidential attached named “A-4.7 Chart of accounts”.

If any of the documents are not in English, please provide a complete translation of the documents.