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Exporter Questionnaire

Changzhou Toshiba Transformer Company Limited (CTC)

Case number: Investigation 507

Product: Power Transformers

From: The People's Republic of China

Investigation period: 1 January 2016 to 31 December 2018 (the period)

Response due by: 24 April 2019 [Section A Only,
remainder extended until 6 May 2019]

Case manager: Reuben McGovern

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Return completed questionnaire to:
investigations3@adcommission.gov.au

Anti-Dumping Commission website: www.adcommission.gov.au

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Check List

This section is an aid to ensure that you have completed all sections of this questionnaire.

Section	Please tick if you have responded to all questions
Section A Company information	<input checked="" type="checkbox"/>
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Attachments	Please tick if you have provided spreadsheet
B-2 Australian sales	<input type="checkbox"/>
B-4 Upwards sales	<input type="checkbox"/>
B-5 Upwards selling expenses	<input type="checkbox"/>
D-2 Domestic sales	<input type="checkbox"/>
F-2 Third country sales	<input type="checkbox"/>
G-3 Domestic CTM	<input type="checkbox"/>
G-4.1 SG&A listing	<input type="checkbox"/>
G-4.2 Dom SG&A calculation	<input type="checkbox"/>
G-5 Australian CTM	<input type="checkbox"/>
G-7.1 List of raw materials	<input type="checkbox"/>
G-7.4 Raw material purchases	<input type="checkbox"/>
G-8 Upwards costs	<input type="checkbox"/>
G-9 Capacity utilisation	<input type="checkbox"/>

GOODS UNDER CONSIDERATION / GOODS SUBJECT TO ANTI-DUMPING MEASURES

The goods under consideration (the goods) i.e. the goods exported to Australia, allegedly at dumped prices, are:

Liquid dielectric power transformers with power ratings of equal to or greater than 10 MVA (mega volt amperes) and a voltage rating of less than 500kV (kilo volts) whether assembled or unassembled, complete or incomplete.

Incomplete transformers are sub-assemblies consisting of the active part and any other parts attached to, imported with or invoiced with the active parts of power transformers. The active part of a power transformer consists of one or more of the following when attached to or otherwise assembled with one another:

- the steel core;
- the windings;
- electrical insulation between the windings; and
- the mechanical frame.

The product definition includes step-up transformers, step-down transformers, autotransformers, interconnection transformers, voltage regulator transformers, rectifier transformers, traction transformers, trackside transformers and power rectifier transformers.

Distribution transformers are not the subject to the measures.

**SECTION A
COMPANY INFORMATION**

A-1 Company representative and location

1. Please nominate a contact person within your company:

Name:	Mr. Tang Shizhou
Position in the company:	Sales Manager
Address:	86 Middle Longjiang Road Changzhou Jiangsu Province China
Telephone:	+86 519 83256040
E-mail address:	tangsz@cz-toshiba.com

Name:	Mr. Shen Yong
Position in the company:	Senior Manager - Sales
Address:	86 Middle Longjiang Road Changzhou Jiangsu Province China
Telephone:	+86 519 83256057
E-mail address:	shenyong@cz-toshiba.com

2. If you have appointed a representative, provide their contact details:

Name:	Mr Andrew Percival	Mr Kevin Reilly
Address:	Percival Legal 1 Rickard Avenue Mosman NSW 2088	GTR Consulting 2 Julianne Close Bolwarra Heights NSW 2320
Telephone:	+61 425 221 036	+61 411 439 366
E-mail address:	andrew.percival@percivallegal.com.au	kevin@gtrconsulting.com.au
Please note that all communications in relation to this matter should be directed to Percival Legal in the first instance.		

In nominating a representative, you are granting authority to the Commission to discuss matters relating to the case with the nominated representative, including your company's confidential information.



3. Please provide the location of the where the company's financial records are held.
Changzhou Toshiba Transformer Company Limited
Longjiang Middle Road No. 86 Changzhou Jiangsu Province China
4. Please provide the location of the where the company's production records are held.
Changzhou Toshiba Transformer Company Limited
Longjiang Middle Road No. 86 Changzhou Jiangsu Province China

A-2 Company information

1. What is the legal name of your business?

A: Changzhou Toshiba Transformer Company Limited (hereinafter referred as CTC).

2. Does your company trade under a different name and/or brand? If yes, provide details.

A: No

3. Was your company ever known by a different legal and/or trading name? If yes, provide details

A: No

4. Provide a list of your current board of directors and any changes in the last two years.

A: There were no changes in 2017

Changes that occurred during 2018 are as follows:

Chairman:

Change from [REDACTED]
[REDACTED]. [Confidential change to Chairman]

Director:

[REDACTED]
[REDACTED]. [Confidential changes to directors]

Supervisor:

[REDACTED]
[REDACTED]. [Confidential change in Supervisors]

List of directors in 2019 :

Chairman :

Vice Chairman :

Directors in China side :

Directors in Japan side :

Supervisors :

5. Is your company part of a group (e.g. parent company with subsidiaries, common ownership, joint-ventures)? If yes, provide:

(a) A diagram showing the complete ownership structure; and

**A: CTC is an International Joint Venture
Shangdong Electrical & Electronics Group Co., Ltd. ■%
Toshiba Corporation ■%**

(b) A list of all related companies and its functions

**A: Shangdong Electrical & Electronics Group Co., Ltd. – Parent
Company
Toshiba Corporation – Parent Company**

6. Is your company or parent company publicly listed?

If yes, please provide:

(a) The stock exchange where it is listed; and

(b) Any principle shareholders¹

**A: Toshiba Corporation is publicly listed on Tokyo Stock Exchange
and Nagoya Stock Exchange. Toshiba Corporation's principal
shareholders are Listed in its Annual Report, which is at**

http://www.toshiba.co.jp/about/ir/en/finance/ar/ar2018/tar2018e_or.pdf

**State Grid Corporation of China holds ■% ownership of
Shangdong Electrical & Electronics Group Co., Ltd., it is not
publicly listed**

If no, please provide:

(a) A list of all principal shareholders and the shareholding percentages.

7. What is the overall nature of your company's business? Include details of the products that your company manufacture and sell and the market your company sells into.

¹ Principal shareholders are those who are able to cast, or control the casting of, 5% or more of the maximum amount of votes that could be cast at a general meeting of your company.

A: Refer to attached named 'A-2.7 Catalogue'.

8. If your business does not perform all of the following functions in relation to the goods under consideration, then please provide names and addresses of the companies which perform each function:

- (a) produce or manufacture;
- (b) sell in the domestic market;
- (c) export to Australia; and
- (d) export to countries other than Australia.

A: CTC perform all the functions above

9. Provide your company's internal organisation chart.

A: Refer to confidential attached named "A-2 Internal Organization Chart".

10. Describe the functions performed by each group within the organisation.

A: Refer to confidential attached named "A-2 Internal Organization Chart".

11. Does your company produce brochures, pamphlets or other promotional material? If yes, please provide them.

A: Refer to attached named 'A-2.7 Catalogue'.

A-3 General accounting information

1. What is your financial accounting period?

A: From 1st January to 31st December

2. Are your financial accounts audited? If yes, who is the auditor?

A: Beijing Dadijintai Certified Public Accountant

3. What currency are your accounts kept in?

A: RMB.

4. What is the name of your financial accounting system?

A: Kingdee Financial system.

5. What is the name of your sales system?

A: N/A.

6. What is the name of your production system?

A: TPICS system imported from Japan.

7. If your financial accounting, sales and production systems are different, how do the systems interact? Is it electronically or manual? Please provide a detailed explanation and include diagrams.

A: They are not electronically linked, manual interaction

8. Do your accounting practices differ in any way from the generally accepted accounting principles in your country? If yes, please provide details.

A: No, CTC's accounting practices comply with generally accepted accounting principles in China

9. Have there been any changes to your accounting practices and/or policies over the last two years? If yes, please provide details.

A: No

A-4 Financial Documents

1. Please provide the four (4) most recently completed annual reports and/or financial statements for your company and any other related companies involved in the production and sale of the goods.

A: Please refer to confidential attached named "A-4.1 Balance sheet"

2. If the financial statements in A-4.1 are unaudited, provide for each company:

- (a) the tax returns relating to the same period; and
- (b) reconciliation of the revenue, cost of goods sold, and net profit before tax between the financial statements and tax returns.

A: CTC's accounts are audited

3. Does your company maintain different profit centres? If yes, provide profit & loss statements for the profit centre that the goods fall into for the financial years ending 2014 through to 2018. If your financial year does not end on 31 December, then provide data up to 31 December 2018.

A: N/A

4. If the period is different to your financial period, please provide:

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- (a) Income statements directly from your accounting information system covering the most recent financial period and the period; or
- (b) Quarterly or half yearly income statements directly from your accounting system covering the most recent financial period and the period.

A: N/A

5. Please provide a copy of your company's trial balance covering the 4 most recent financial years. If your financial year does not end on 31 December, then provide data up to 31 December 2018.

A: Please refer to confidential attached named "A-4.1 Balance sheet"

6.

7. Please provide your company's chart of accounts.

A: Please refer to confidential attached named "A-4.7 Chart of accounts".

If any of the documents are not in English, please provide a complete translation of the documents.