



Australian Government
Department of Industry,
Innovation and Science

Anti-Dumping
Commission

Exporter Questionnaire

Case number: Investigation 507

Product: Power Transformers

From: The People's Republic of China

Investigation period: 1 January 2016 to 31 December 2018 (the period)

Response due by: 24 April 2019

Case manager: Reuben McGovern

Phone: +61 3 8539 2437

Return completed questionnaire to:
investigations3@adcommission.gov.au

Anti-Dumping Commission website: www.adcommission.gov.au

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INSTRUCTIONS

Why you have been asked to fill out this questionnaire?

The Anti-Dumping Commission (the Commission) is conducting an investigation into power transformers (also referred to as 'the goods') exported to Australia from the People's Republic of China (China).

The Commission will use the information you provide to determine normal values and export prices over the investigation period (the period). This information will determine whether the power transformers are dumped.

If you do not manufacture the goods

If you play a role in the export of the goods but do not produce or manufacture the goods (for example, you are a trading company, broker, or vendor dealing in the goods), it is important that you forward a copy of this questionnaire to the relevant manufacturers and inform the case manager of the contact details for these manufacturers **immediately**.

The Commission will still require your company to complete this exporter questionnaire except Section G – Cost to make and sell.

What happens if you do not respond to this questionnaire?

You do not have to complete the questionnaire. However, if you do not respond, do not provide all of the information sought, do not provide information within a reasonable time period, or do not allow the Commission to verify the information, we may deem your company to be an uncooperative exporter. In that case the Commission must determine a dumping margin having regard to all relevant information.

Therefore, it is in your interest to provide a complete and accurate response to this exporter questionnaire, capable of verification.

Extension requests

If you require a longer period to complete your response to this exporter questionnaire, you must submit a request to the case manager, in writing, for an extension to the due date for all or part of the questionnaire. This request must be made prior to the due date. A request for extension will be rejected if received after the due date.

When considering the extension request, the Commission will have regard to:

- the Commission's responsibility to conduct the case in a timely and efficient manner;
- the reasons why you could not provide a response within the whole period and not only the period remaining between the request and the due date;
- ordinary business practices or commercial principles;
- the Commission's understanding of the relevant industry;
- previous correspondence and previous dealings with your company; and
- information provided by other interested parties.

More information on extensions can be found in the Customs (Extension of Time and Non-cooperation) Direction 2015 at <https://www.legislation.gov.au/Details/F2015L01736>.

You will be informed of the decision whether your request for an extension has been rejected, granted in full or granted in part. For example, you may be granted an extension to submit all sections except for Section A or you may be granted a shorter extension than you requested.

A summary of any requests and grants of extensions to submit a response to this exporter questionnaire will be published in the public record.

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Submitting a response to the exporter questionnaire

Responses to the exporter questionnaire should be lodged by email listed on the cover page. In submitting the response to the exporter questionnaire, you must answer all questions, include all attachments and spreadsheets, and provide a non-confidential version of your response to this exporter questionnaire.

If your response to this exporter questionnaire contains major deficiencies that, in the Commissioner's view, cannot be quickly and easily rectified in a further response, then your company may be deemed as an uncooperative exporter.

Confidential and non-confidential responses

You are required to lodge a confidential version (for official use only) and a non-confidential version (for public record) of your response to this exporter questionnaire by the due date. Please ensure that *each page* of information you provide is clearly marked either "FOR OFFICIAL USE ONLY" or "PUBLIC RECORD".

All information provided to the Commission in confidence will be treated accordingly. The non-confidential version of your submission will be placed on the public record, and must contain sufficient detail to allow a reasonable understanding of the substance of the information, but does not breach confidentiality nor adversely affect those interests.

A person is not required to provide a summary for the public record if the Commission can be satisfied that no such summary can be given that would allow a reasonable understanding of the substance of the information.

All submissions are required to have a bracketed explanation of deleted or blacked out information for the non-confidential version of the submission. An example of a statement to accompany deleted/blacked out text is:

[Explanation of cost allocation through the divisions, by reference to machine hours or weight].

If such an explanation is not provided, the Commission may disregard the information in the submission. Where the public record version of your response to the exporter questionnaire does not contain sufficient detail, your company may be deemed to have significantly impeded the case and be deemed an uncooperative exporter.

Verification of the information that you supply

The Commission may wish to conduct a visit to your company to verify your questionnaire response for completeness, relevance and accuracy of the information to your company's records.

The verification visit is not meant to be a chance for you to provide new or additional information. The Commission expects your response to the questionnaire to be relevant, complete and accurate.

Any verification visit typically commences approximately 2 to 4 weeks after the due date of the response to the exporter questionnaire. To assist with planning of a verification visit, please contact the case manager as soon as possible for a potential verification date to be scheduled.

Verification is usually conducted over 4 days. However, in complex cases, a verification visit may be scheduled over 5 days. A verification visit will include a detailed examination of your company's records and we will collect copies of relevant documents. The verification will require the participation of key staff, including your financial accountant, production manager and sales staff. A tour of the manufacturing facility may also be required during the verification visit.

Note that the Commission may disregard any data or information that is not verified, including new or additional information provided after the verification visit.

A report will be prepared following the verification visit, which details the outcomes of the visit. This report will be placed on the public record and may include the publication of the preliminarily-

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assessed dumping margin. The Commission considers that the dumping margin is not confidential information, but rather an aggregate figure derived from confidential data.

You will be provided with an opportunity to comment on the accuracy and confidentiality of the verification report prior to its publication on the public record.

The Commission may elect to undertake an alternative verification methodology than an on-site verification to satisfy itself of the completeness, relevance and accuracy of the data.

For information on the Commission's verification procedures, refer to Anti-Dumping Notice No. 2016/30 available on the Commission's website.

Important instructions for preparing your response

- All questions in this exporter questionnaire must be completed. If a question is not applicable to your situation, please answer the question with "Not Applicable" and provide an explanation as to why.
- All questions must be answered in English. An English translation must be provided for documents not originally in English.
- Clearly identify all units of measurement (e.g. KG) and currencies (e.g. AUD) used. Apply the same measurement consistently throughout your response to the questionnaire.
- Label all attachments to your response according to the section of the questionnaire it relates to (e.g. label the chart of accounts as Attachment A-5.6)
- The data must be created as spreadsheet files in Microsoft Excel.
- If you have used formulas to complete spreadsheets, these formulas must be retained and not hard-coded.
- You must retain all worksheets used in answering the questionnaire. Be prepared to provide these worksheets during the Commission's verification of your data.
- If you cannot present electronic data in the requested format contact the case officer as soon as possible.
- Where possible, electronic data should be emailed or shared with the Commission via SIGBOX, a secure online document repository. Please contact the case manager to request access to SIGBOX if required.

CHECKLIST

This section is an aid to ensure that you have completed all sections of this questionnaire.

Section	Please tick if you have responded to all questions
Section A Company information	<input checked="" type="checkbox"/>
Section B Export sales to Australia	<input type="checkbox"/>
Section C Exported goods & like goods	<input type="checkbox"/>
Section D Domestic sales	<input type="checkbox"/>
Section E Due allowance	<input type="checkbox"/>
Section F Third country sales	<input type="checkbox"/>
Section G Cost to make and sell	<input type="checkbox"/>
Exporter's declaration	<input type="checkbox"/>
Non-confidential version of this response	<input type="checkbox"/>

Attachments	Please tick if you have provided spreadsheet
B-2 Australian sales	<input type="checkbox"/>
B-4 Upwards sales	<input type="checkbox"/>
B-5 Upwards selling expenses	<input type="checkbox"/>
D-2 Domestic sales	<input type="checkbox"/>
F-2 Third country sales	<input type="checkbox"/>
G-3 Domestic CTM	<input type="checkbox"/>
G-4.1 SG&A listing	<input type="checkbox"/>
G-4.2 Dom SG&A calculation	<input type="checkbox"/>
G-5 Australian CTM	<input type="checkbox"/>
G-7.1 List of raw materials	<input type="checkbox"/>
G-7.4 Raw material purchases	<input type="checkbox"/>
G-8 Upwards costs	<input type="checkbox"/>
G-9 Capacity utilisation	<input type="checkbox"/>

GOODS UNDER CONSIDERATION / GOODS SUBJECT TO ANTI-DUMPING MEASURES

The goods under consideration (the goods) i.e. the goods exported to Australia, allegedly at dumped prices, are:

Liquid dielectric power transformers with power ratings of equal to or greater than 10 MVA (mega volt amperes) and a voltage rating of less than 500kV (kilo volts) whether assembled or unassembled, complete or incomplete.

Incomplete transformers are sub-assemblies consisting of the active part and any other parts attached to, imported with or invoiced with the active parts of power transformers. The active part of a power transformer consists of one or more of the following when attached to or otherwise assembled with one another:

- the steel core;
- the windings;
- electrical insulation between the windings; and
- the mechanical frame.

The product definition includes step-up transformers, step-down transformers, autotransformers, interconnection transformers, voltage regulator transformers, rectifier transformers, traction transformers, trackside transformers and power rectifier transformers.

Distribution transformers are not the subject to the measures.

SECTION A COMPANY INFORMATION

A-1 Company representative and location

1. Please nominate a contact person within your company:

Name: [CONFIDENTIAL]
Position in the company: Manager of Legal Department
Telephone: [CONFIDENTIAL]
E-mail address: [CONFIDENTIAL]

2. If you have appointed a representative, provide the their contact details:

Name: Jia Zheng
Address: 31/F, Office Tower A, Jianwai SOHO, 39 Dongsanhuan Zhonglu,
Chaoyang District, Beijing, 100022, China
Telephone: 86-10-58698899-8618
E-mail address: jiazheng@zhongyinlawyer.com

In nominating a representative, you are granting authority to the Commission to discuss matters relating to the case with the nominated representative, including your company's confidential information.

3. Please provide the location of the where the company's financial records are held.

Answer:

The company's financial records are held in the address of the company, which is No. 3555 Sixian Rd., Songjiang District, Shanghai, China.

4. Please provide the location of the where the company's production records are held.

Answer:

The company's production records are held in the address of the company, which is No. 3555 Sixian Rd., Songjiang District, Shanghai, China.

A-2 Company information

1. What is the legal name of your business?

Answer:

The legal name of the company is CHINT Electric Co., Ltd. (hereinafter refer to as "CHINT"). Please refer to Exhibit A-2.1 Business License of CHINT.

2. Does your company trade under a different name and/or brand? If yes, provide details.

Answer:

No, CHINT does NOT trade under a different name or brand.

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3. Was your company ever known by a different legal and/or trading name? If yes, provide details

Answer:

No, CHINT was NOT ever known by a different legal or trading name.

4. Provide a list of your current board of directors and any changes in the last two years.

Answer:

Please refer to Exhibit A-2.4 [CONFIDENTIAL].

The company wishes to keep all the information in this exhibit confidential as it sets out the company's management and governance structure. The release of the information to any third party, especially competitors will impact negatively on the competitiveness of the company.

5. Is your company part of a group (e.g. parent company with subsidiaries, common ownership, joint-ventures)? If yes, provide:
(a) A diagram showing the complete ownership structure; and

Answer:

Please refer to Exhibit A-2.5 [CONFIDENTIAL].

The company wishes to keep all the information in this exhibit confidential as it sets out the company's management and governance structure. The release of the information to any third party, especially competitors will impact negatively on the competitiveness of the company.

- (b) A list of all related companies and its functions

Answer:

Please refer to Exhibit A-2.5 [CONFIDENTIAL].

The company wishes to keep all the information in this exhibit confidential as it sets out the company's management and governance structure. The release of the information to any third party, especially competitors will impact negatively on the competitiveness of the company.

6. Is your company or parent company publically listed?

If yes, please provide:

- (a) The stock exchange where it is listed; and
(b) Any principle shareholders¹

¹ Principal shareholders are those who are able to cast, or control the casting of, 5% or more of the maximum amount of votes that could be cast at a general meeting of your company.

If no, please provide:

- (a) A list of all principal shareholders and the shareholding percentages.

Answer:

Neither CHINT nor CHINT's parent company is publically listed. And please refer to Exhibit A-2.5 [CONFIDENTIAL] for the all principal shareholders and the shareholding percentages.

The company wishes to keep all the information in this exhibit confidential as it sets out the company's management and governance structure. The release of the information to any third party, especially competitors will impact negatively on the competitiveness of the company.

7. What is the overall nature of your company's business? Include details of the products that your company manufacture and sell and the market your company sells into.

Answer:

CHINT is engaged in the production and sale of power transmission & distribution EPC (Engineering, Procurement, and Construction).

CHINT manufactures power transmission (including the goods under investigation), power transformer, high-voltage switch, low/medium-voltage switchgear, high/medium-voltage apparatus, cable, etc.

CHINT sells its products into domestic market, Sweden, Mexico, Australia, Pakistan, Tanzania, EU, etc.

8. If your business does not perform all of the following functions in relation to the goods under consideration, then please provide names and addresses of the companies which perform each function:
- (a) produce or manufacture;
 - (b) sell in the domestic market;
 - (c) export to Australia; and
 - (d) export to countries other than Australia.

Answer:

[CONFIDENTIAL]

The company wishes to keep all the information in this question confidential as it sets out the company's transaction pattern. The release of the information to any third party, especially competitors will impact negatively on the competitiveness of the company.

9. Provide your company's internal organisation chart.

Answer:

Please refer to Exhibit A-2.9 [CONFIDENTIAL].

The company wishes to keep all the information in this exhibit confidential as it sets out the company's management and governance structure. The release of the information to any third party, especially competitors will impact negatively on the competitiveness of the company.

10. Describe the functions performed by each group within the organisation.

Answer:

Department Name	Function
[CONFIDENTIAL]	[CONFIDENTIAL]
[CONFIDENTIAL]	[CONFIDENTIAL]
[CONFIDENTIAL]	[CONFIDENTIAL]
[CONFIDENTIAL]	[CONFIDENTIAL]
[CONFIDENTIAL]	[CONFIDENTIAL]
[CONFIDENTIAL]	[CONFIDENTIAL]
[CONFIDENTIAL]	[CONFIDENTIAL]
[CONFIDENTIAL]	[CONFIDENTIAL]
[CONFIDENTIAL]	[CONFIDENTIAL]
[CONFIDENTIAL]	[CONFIDENTIAL]
[CONFIDENTIAL]	[CONFIDENTIAL]

The company wishes to keep all the information in this exhibit confidential as it sets out the company's management and governance structure. The release of the information to any third party, especially competitors will impact negatively on the competitiveness of the company.

11. Does your company produce brochures, pamphlets or other promotional material? If yes, please provide them.

Answer:

Please refer to **Exhibit A-2.11 Product Brochure of CHINT.**

A-3 General accounting information

1. What is your financial accounting period?

Answer:

CHINT's financial accounting period is from Jan.1st to Dec. 31st of each calendar year.

2. Are your financial accounts audited? If yes, who is the auditor?

Answer:

CHINT's financial accounts are audited. Pan-China Certified Public Accountants is the auditor.

3. What currency are your accounts kept in?

Answer:

CHINT uses RMB as the currency in accounts.

4. What is the name of your financial accounting system?

Answer:

The name of CHINT's financial accounting system is "Yong You".

5. What is the name of your sales system?

Answer:

The name of CHINT's sales system is "Yong You".

6. What is the name of your production system?

Answer:

The name of CHINT's production system is "Yong You".

7. If your financial accounting, sales and production systems are different, how do the systems interact? Is it electronically or manual? Please provide a detailed explanation and include diagrams.

Answer:

Not applicable, the financial accounting, sales and production systems are the same.

8. Do your accounting practices differ in any way from the generally accepted accounting principles in your country? If yes, please provide details.

Answer:

No, the accounting practices of CHINT comply with the generally accepted accounting principles of China.

9. Have there been any changes to your accounting practices and/or policies over the last two years? If yes, please provide details.

Answer:

No, there is not any changes to CHINT's accounting practices or policies over the last two years.

A-4 Financial Documents

1. Please provide the four (4) most recently completed annual reports and/or financial statements for your company and any other related companies involved in the production and sale of the goods.

Answer:

Please refer to Exhibit A-4.1 [CONFIDENTIAL].

The company wishes to keep all the information in this exhibit confidential as it relates to its accounting policies and accounting information. The release of the information to any third party, especially competitors will impact negatively on the competitiveness of the company.

2. If the financial statements in A-4.1 are unaudited, provide for each company:

- (a) the tax returns relating to the same period; and
- (b) reconciliation of the revenue, cost of goods sold, and net profit before tax between the financial statements and tax returns.

Answer:

Not applicable, since CHINT has provided audited financial statements.

3. Does your company maintain different profit centres? If yes, provide profit & loss statements for the profit centre that the goods falls into for the financial years ending 2014 through to 2018. If your financial year does not end on 31 December, then provide data up to 31 December 2018.

Answer:

[CONFIDENTIAL].

The company wishes to keep all the information in this question confidential as it relates to its accounting policies and accounting information. The release of the information to any third party, especially competitors will impact negatively on the competitiveness of the company.

4. If the period is different to your financial period, please provide:

- (a) Income statements directly from your accounting information system covering the most recent financial period and the period; or
- (b) Quarterly or half yearly income statements directly from your accounting system covering the most recent financial period and the period.

Answer:

Not applicable, since the period is the same to CHINT's three financial periods.

5. Please provide a copy of your company's trial balance covering the 4 most recent financial years. . If your financial year does not end on 31 December, then provide data up to 31 December 2018.

Answer:

Please refer to Exhibit A-4.5 [CONFIDENTIAL].

The company wishes to keep all the information in this exhibit confidential as it relates to its accounting policies and accounting information. The release of the information to any third party, especially competitors will impact negatively on the competitiveness of the company.

6. Please provide your company's chart of accounts.

Answer:

Please refer to Exhibit A-4.6 [CONFIDENTIAL].

The company wishes to keep all the information in this exhibit confidential as it relates to its accounting policies and accounting information. The release of the information to any third party, especially competitors will impact negatively on the competitiveness of the company.

If any of the documents are not in English, please provide a complete translation of the documents.