

**Interim Measures of Special Fund Management for Industrial
Transition and Upgrade in Zhejiang Province**

(ZHENGCAIQIZI[2009] No. 60)

Each City, Finance Bureau, Development and Reform Commission,
Economic and Trade Bureau, Information Industry Administration of
each County (City) (Except Ningbo City), related units of the province:

According to the spirit of Implementation Opinion on Accelerate
Industrial Transition and Upgrade (ZHEZHENGFA [2008] No. 80) by the
provincial government, the existing special funds for industries, science
and technology will be integrated since 2009. A unified financial special
fund for industrial transition and upgrade shall be constructed, and it will
focus on supporting the technological transformation of enterprises. And
the technological innovation, new products innovation, management
innovation, industrialization of high technology, informatization of
enterprise will be also supported. In order to strengthen the administration
of financial special fund of industrial transition and upgrade, enhance the
using performance, make the financial policies play a better leading role,
we research and formulate Interim Measures of Special Fund
Management for Industrial Transition and Upgrade in Zhejiang Province.
Please implement in accordance with these provisions.

Finance Bureau of Zhejiang

Economic and Trade Commission of Zhejiang

Development and Reform Commission of Zhejiang

Science and Technology Bureau of Zhejiang

Information Industry Bureau of Zhejiang

March 25th, 2009

**Interim Measures of Special Fund Management for Industrial Transition and
Upgrade in Zhejiang Province**

Article 1 This regulation is enacted to further promote the transition of Industrial Development Pattern of Zhejiang Province, boost the transition and upgrade of industrial economic structure, in accordance with the spirit of Implementation Opinion on Accelerate Industrial Transition and Upgrade of the province (ZHEZHENGFA [2008] No. 80) and Summary of Special Meeting ([2009] No.10) of provincial government.

Article 2 Source of Fund

The financial special funds for industrial transition and upgrade of Zhejiang Province (hereinafter referred to Special Fund) shall be arranged based on the integration of relevant financial funds of existing industry and technology by the provincial finance in accordance with the

method of “Stock amount adjusts the structure, increase amount adjusts the direction, and increase amount adjusts the stock amount”.

Article 3 Principal of Administration

1. Stick to “make public, just, fair, emphasize the scientific and standardized management, the special fund shall be use only for special purpose”.
2. Stick to Support the outstanding and great, stick to promote the development of major enterprises and major groups, enhance the market competitiveness of industrial enterprises of our province.
3. Strengthen the coordination and cooperation of the relevant administrative authorities of special funds, focus on the optimization of resource integration and allocation, and promote the arrangement of funds and reasonable use.
4. Establish and perfect the performance tracking and reviewing system of the use of special fund. Implement the responsibilities of each sector and the decision procedure; enhance the using benefit of special fund.

Article 4 Support Object

All the enterprises registered within Zhejiang Province (except Ningbo City), which qualified as a legal person, have a completed financial and

accounting system and pay the taxes, research institution established according to laws, the project of transformation investment of industrial technology which in accordance with the industrial policies and direction of the state or province, and project of product, technology, management innovation, and enterprise informatization can apply the special fund discount of transition and upgrade, especially the project of technology transformation of leading enterprises of cluster economy in the industry or region.

Article 5 Support Scope

1. The important projects of technology transformation which listed in the plan.
2. The projects of technology transformation of the enterprise which apply the advanced technology to transform the traditional industry, the projects of technology transformation of industrialization of high technology and the projects of technology transformation of equipment manufacturing industry.
3. The informatization construction project of the enterprises, which the main point is product informatization, manufacturing process informatization and e-commerce.
4. The industrialization project of independent R&D, results transformation, and key generality technology of the enterprise centre

- on the development of new products, new process, new technology.
5. The construction projects of technology breakthrough, service platform of enterprises in order to face the technical trade barriers.
 6. Other annual key supporting fields or key projects decided by the leading group of industrial transition and upgrade of our province.

Article 6 Support Pattern

For projects such as technology transformation and independent innovation of enterprises which in line with application conditions, the discount or assistance shall be given in accordance with the amount of medium and long-term loan of financial institution for enterprise or the amount of actual investment; at the same time, for projects to apply for provincial discount or assistance, the local government (except the undeveloped regions) shall firstly implement the matching fund of discount and assistance in the same proportion.

Article 7 Discount, assistance and mating standard

1. For industrial enterprises meeting application condition, give discount of 2.5% of long-term loan amount from finance institution for technology transition inputting fixed assets above certain limit; give discount of 5% of long-term loan amount, discount period is one year; give appropriate

support to provincial key technology transition project which is important for development of the industry and enterprises self-raised its fund, and give 2.5% assistance of actual input of equipment and technology. If the technology transition project has long-term loan as well as self-raised fund, the enterprise only enjoy one type of them.

2. Give project of own technology update, industrial achievement transform and informationization transition certain ratio assistance according to actual input of enterprise for industrial enterprises and research and development institution meeting apply conditions.

3. For discount or assistance of technology transition project arranged by the province, give mating discount and assistance according to 1:1, except undeveloped area.

4. For the projects of the same enterprise about the same subject, the application can only be filed to one competent specific fund management department. Application made to several departments is not permitted. For the same project that has obtained support from the State, funds will not be allocated by the Province.

5. For one signal project, the discount or assistance normally shall not exceed 5 million CNY; for particular key provincial project, the maximum assistance shall not exceed 10 million CNY

Article 8 Annual key supporting

1. Relevant administrative authority of special fund propose it before the end of March every year according to requirement of state and provincial government, then report to leading group of provincial industrial transition and upgrade to decide.

2. Relevant administrative authority shall complete application work of annual special fund project according to annual key support by leading group of provincial industrial transition and upgrade to ensure key support direction of special fund,

Article 9 Apply procedure and time

1. In accordance with annual supporting key field researched and decided by leading group of provincial industrial transition and upgrade, relevant administrative authority of special fund and provincial department of finance issue notification of application of industrial transition and upgrade project.

2. In accordance with requirements of apply notification, competent authority and finance department jointly organize enterprises which meet conditions to apply, then report to relevant administrative authority of special fund and provincial department of finance respectively.

3. The project applied by relevant provincial unit is provided by enterprises, and administrative authority or company group examine application and provide opinions, then report to relevant administrative

authority of special fund and provincial department of finance directly.

Article 10 Materials provided by enterprises when they apply

1. Project application form of Special Fund for Industrial Transition and Upgrade in Zhejiang province
2. Copies of project approval or filing approval document
3. Long-term fixed asset loan contract and fund record certificate of project from finance institution
4. Last year audited financial accounting report of enterprises
5. Special audit report for project which enterprises self-raised fund
6. Undertaking letter of granting project fund mating discount or assistance from local finance
7. Copy of business license
8. other required materials

Article 11 Examination of the project and fund appropriation

1. The relevant administrative authority of special fund and provincial department of finance examine applied technology update discount or assistance project according to respective management responsibility and provide arrangement opinions for special fund discount or assistance.
2. For certain discount or assistance project, provincial department of

finance and administrative authority of special fund jointly issues fund document, and appropriate fund to bureau finance of city and county and relevant provincial units, then bureau finance of city and county and relevant provincial units appropriate to enterprise undertook the project.

3. The enterprise shall make financial accounting according to relevant regulations and utilize for intended purpose after received financial discount or assistance.

Article 12 Supervision and management

Article 13 System of performance evaluation

Article 14 The measures is implemented from issue.

浙江省财政厅关于印发《浙江省工业转型升级财政专项资金管理暂行办法》的通知

浙财企字[2009]60号

发布时间：2009-3-25 发文单位：浙江省财政厅

各市、县（市）财政局、发改委、经贸局、科技局、信息产业主管部门（宁波不发），省级有关单位：

根据省政府《关于加快工业转型升级的实施意见》（浙政发〔2008〕80号）的精神，从2009年开始，将整合现有工业、科技类财政专项资金，建立统一的工业转型升级财政专项资金，重点用于支持企业技术改造，同时对企业技术创新、新产品创新、管理创新、高新技术产业化、企业信息化等方面给予支持。为加强工业转型升级财政专项资金的管理，提高财政资金的使用绩效，更好地发挥财政政策的导向作用，我们研究制定了《浙江省工业转型升级财政专项资金管理暂行办法》，现印发给你们，请遵照执行。执行过程中如有问题，请及时反馈。

2009年度工业转型升级财政专项资金项目采取分批申报审核拨付办法，具体申报事项另行通知，请各地预先做好项目申报的准备工作。

浙江省财政厅
浙江省经济贸易委员会
浙江省发展和改革委员会
浙江省科学技术厅
浙江省信息产业厅
二〇〇九年三月二十五日

浙江省工业转型升级财政专项资金管理暂行办法

第一条 为了进一步推进我省工业发展方式转变，促进工业经济结构转型升级，根据省政府《关于加快工业转型升级的实施意见》（浙政发〔2008〕80号）和省政府《专题会议纪要》（〔2009〕10号）有关精神，特制定本办法。

第二条 资金来源

浙江省工业转型升级财政专项资金（以下简称“专项资金”）由省财政按照“存量调结构、增量调方向、增量调存量”的方法，在整合现有工业、科技类相关财政资金的基础上统筹安排。

第三条 管理原则

- （一）坚持公开、公正、公平，注重科学化、规范化管理，突出重点，专款专用。
- （二）坚持扶优扶强，着力促进大企业、大集团的发展，提高我省工业企业市场竞争力。
- （三）加强专项资金相关归口管理部门的协调配合，着力优化资源整合和配置，促进资金统筹安排、合理使用。
- （四）建立健全专项资金使用绩效跟踪和考评制度，落实部门责任和决策程序，提高专项资金使用效益。

第四条 支持对象

凡在浙江省范围内（不含宁波市）工商管理部门登记注册、具有独立法人资格、财务会计制度健全、依法纳税的工业企业，以及依法成立的研发机构，符合国家和省产业政策与导向的工业技术改造投资项目及产品、技术、管理创新和企业信息化项目，特别是行业 and 区域块状经济的龙头企业技术改造项目，均可申请转型升级专项资金贴息或补助。

第五条 支持范围

- （一）列入计划的省重点技术改造项目。
- （二）企业采用先进技术改造传统产业，高新技术产业化和装备制造业的技术改造项目。
- （三）企业以产品信息化、制造过程信息化、管理信息化和电子商务为主要内容的信息化建设项目。
- （四）企业围绕开发新产品、新工艺、新技术的自主研发、成果转化、关键共性技术产业化项目。
- （五）企业以应对技术性贸易壁垒为主要内容的技术攻关、服务平台建设项目。
- （六）省工业转型升级领导小组研究确定的年度其他重点支持领域或重大项目。

第六条 支持方式

对企业符合申报条件的技术改造和自主创新等项目，一般按企业中长期金融机构贷款金额或实际投资额给予一定比例的贴息或补助；同时对申报省贴息或补助的项目，地方政府（欠发达地区除外）应先落实同比例配套的贴息或补助资金。

第七条 贴息、补助及配套标准

- （一）对工业企业符合申报条件，固定资产投入在一定额度以上的技术改造项目，按照金融机构提供的中长期贷款总额的 2.5% 给予贴息，对欠发达地区的企业，按中长期贷款总额的 5% 给予贴息，贴息期为 1 年；对部分在行业发展中起重要作用且关联度大的企业自筹资金的省重点技术改造项目给予适当支持，具体按照实际的设备、技术投入数的 2.5% 给予补助；对于既有中长期贷款又有自筹资金的技术改造项目，只能享受其中一种方式支持。
- （二）对工业企业、研发机构符合申报条件，固定资产投入在一定额度以上的自主创新、产业成果转化以及信息化改造项目，按照企业实际投入额的一定比例给予补助。
- （三）对省安排贴息或补助的技术改造项目，除欠发达地区外要按 1:1 比例进行配套贴息或补助。
- （四）同一企业同一内容的项目只能向一个专项资金归口管理部门进行申报，不得多头申报。企业同一项目已获得国家支持的，省里不再安排资金。
- （五）单个项目省贴息或补助的金额原则上不超过 500 万元，个别省重点项目最高不超过 1000 万元。

第八条 年度支持重点

(一) 年度支持重点根据国家和省政府的要求, 由专项资金相关归口管理部门在每年 3 月底前提出, 报经省工业转型升级领导小组研究确定。

(二) 专项资金相关管理部门应按照省工业转型升级领导小组研究确定的年度支持重点, 分别做好年度专项资金项目申报工作, 确保突出专项资金的重点支持方向。

第九条 申报程序和时间

(一) 专项资金相关管理部门每年根据省工业转型升级领导小组研究确定的年度支持重点领域, 分别会同省财政厅下发当年工业转型升级项目申报通知。

(二) 各市、县(市)主管部门和财政部门要按照申报通知的要求, 共同组织符合条件的企业进行申报, 并联合行文分别上报专项资金相关管理部门和省财政厅。

(三) 省级有关单位申报的项目, 由企业提出申请, 经主管部门或省属集团公司审核并签署意见后直接报送专项资金相关管理部门和省财政厅。

第十条 企业申报时应提供的资料

1. 浙江省工业转型升级财政专项资金项目申报表;
2. 项目核准或备案批准文件复印件;
3. 金融机构出具的项目中长期固定资产贷款合同、资金入账凭证;
4. 企业上年度经审计的财务会计报告;
5. 企业自筹资金的项目, 需提供具有一定资质会计师事务所出具的企业设备、技术实际投入情况的专项审计报告;
6. 地方财政给予项目资金配套贴息或补助的承诺书;
7. 项目单位营业执照复印件;
8. 其他按要求需要提供的资料。

第十一条 项目的审核和资金拨付

(一) 对于申报的技术改造贴息或补助项目, 由专项资金相关管理部门会同省财政厅, 根据各自管理职责进行认真审核, 提出专项资金贴息或补助的安排意见。

(二) 对于确定的贴息或补助项目, 由省财政厅按财政隶属关系会同专项资金相关管理部门下达资金文件, 将资金拨付到市、县财政局和省级有关单位, 由市、县财政局和省级有关单位转拨到项目承担企业。

(三) 企业收到财政贴息或补助资金后, 应按国家有关规定进行财务会计处理, 并专款专用。

第十二条 监督管理

(一) 申请贴息或补助资金支持的项目, 要严格执行国家和省有关政策规定, 不得擅自改变项目的主要建设内容和建设标准, 更不得转移、挪用贴息或补助资金。

(二) 各级财政和相关主管部门要加强项目实施及资金使用全过程的监督管理, 组织开展跟踪检查, 对达不到建设进度和投资要求的项目, 视视情况减拨、停拨直至追回已拨的贴息或补助资金。

(三)对弄虚作假骗取财政专项资金的,按照《财政违法行为处罚处分条例》等有关法律法规进行查处,同时在3年内取消该单位申报财政专项资金的资格。情节严重构成犯罪的,依法追究刑事责任。

第十三条 绩效评价制度

(一)专项资金相关管理部门应根据省财政厅制定的相关办法规定,建立健全专项资金使用绩效评价制度,每年度对管理的相关专项资金进行绩效评价,评价结果将作为下一年度省财政安排专项资金的依据。

(二)省工业转型升级领导小组办公室对年度转型升级专项资金的使用情况要及时进行总结,对专项资金的绩效评价情况进行汇总,为省工业转型升级领导小组研究确定下一年度支持重点和方向提供决策参考。

第十四条 本办法自发布之日起施行。原《浙江省建设先进制造业基地财政专项资金管理办法》、《浙江省应对技术性贸易壁垒专项资金管理》、《浙江省企业信息化财政专项资金管理办法》同时停止执行。