



Government Questionnaire – The People's Republic of China

Product: Rod in coils

From: The People's Republic of China

Period of Investigation: 1 July 2014 to 30 June 2015

Response due by: **25 March 2016**

Case manager: Sanjay Sharma

Phone: +61 26276 1462
Fax: +61 3 8539 2499

E-mail: operations5@adcommission.gov.au

ADC website: www.adcommission.gov.au

Return completed questionnaire to: Director Operations 5
Anti-Dumping Commission
Level 10 Industry House
10 Binara Street
Canberra ACT2600
Australia

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ABBREVIATIONS

the Act	the Customs Act 1901
the Applicant or OneSteel	OneSteel Manufacturing Pty Ltd
China	the People's Republic of China
CISA	China Iron and Steel Association
CIF	Cost, Insurance & Freight
the Commission	Anti-Dumping Commission
EPZ	Export Processing Zones
FOB	Free On Board
GOC	Government of China
the goods	the goods the subject of the application (Rod in coils)
the investigation period	1 July 2014 to 31 December 2015
SAT	State Administration of Taxation
SASAC	the State-owned Assets Supervision and Administration Commission of the State Council
SEZ	Special economic zone
SIE	State-invested enterprise

BACKGROUND AND GENERAL INSTRUCTIONS

1. Background

On 17 February 2016, following an application by OneSteel Manufacturing Pty Ltd (Onesteel), the Commissioner of the Anti-Dumping Commission (the Commissioner) initiated a countervailing investigation in respect of rod in coils exported to Australia from the People's Republic of China (China). OneSteel alleged that the Australian industry has suffered material injury caused by rod in coils exported to Australia from China at subsidised prices.

Anti-Dumping Notice (ADN) No. 2016/14 outlining the details of the investigation and the procedures to be followed during the investigation was published on 17 February 2016 and can be accessed on the Commission's website at www.adcommission.gov.au

2. Goods under consideration

The goods under consideration ("the goods") i.e. the goods exported to Australia at allegedly at dumped prices and in receipt of subsidies, are:

"Hot rolled rods in coils of steel, whether or not containing alloys, that have maximum cross sections that are less than 14mm.

The goods covered by the application include all steel rods meeting the above description of the goods regardless of the particular grade or alloy content.

Goods excluded from this application include hot-rolled deformed steel reinforcing bar in coil form, commonly identified as rebar or debar, and stainless steel in coils."

3. Tariff classification

The goods are typically classified to the following Subheadings in Schedule 3 of the *Customs Tariff Act 1995*.

- Tariff subheading 7213.91.00 with statistical code 44
- Tariff subheading 7227.90.90 with statistical code 02¹

¹ Statistical code 02 came into effect on 1 January 2015; prior to this, the relevant statistical code was 42.

4. Investigation period

The existence and amount of any subsidy in relation to rod in coils exported to Australia from China will be determined on the basis of an investigation period of 1 July 2014 to 30 June 2015 (the investigation period).

The Commission will examine details of the Australian market from 1 July 2011 for injury analysis purposes.

5. Purpose of this questionnaire

The purpose of this questionnaire is to assist the Commission to obtain the information from the Government of China (the GOC) it considers necessary for the countervailing investigation generally.

Please note that the subsidy/countervailing sections of this questionnaire focus on identified programs that the Anti-Dumping Commission is specifically investigating at this stage. However, the Commission may also investigate any additional subsidy program(s) that it considers may warrant investigation if additional information comes to light in relation to further programs.

Any additional questions may be posed to the GOC in the form of supplementary questionnaires.

A separate exporter questionnaire will be available for Chinese exporters of rod in coils to complete, if they chose to cooperate with the investigation. All known exporters have been sent notification of the investigation and advice on how to access the Exporter Questionnaire.

The GOC does not have to complete this questionnaire. However, if the GOC does not respond, the Commission may be required to rely on information supplied by other parties (including information supplied by the Australian industry – the applicant for the countervailing measures).

Therefore, it is considered to be in the GOC's interests and the interests of Chinese exporters of rod in coils, to provide a complete response.

If the GOC chooses to respond to this questionnaire, the response is due by **25 March 2016**.

6. If you decide to respond

Should the GOC choose to provide a response to this questionnaire, please note the following:

For official use only and public record

If the GOC chooses to respond to this questionnaire, you are required to lodge a “for official use only” and a “public record” version of your submission by the due date.

In submitting these versions, please ensure that each page of the information you provide is clearly marked either “**FOR OFFICIAL USE ONLY**” or “**PUBLIC RECORD**” in the header and footer.

All information provided to the Commission “for official use only” will be treated confidentially. The public record version of your submission will be placed on the public record, which all interested parties can access.

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Your public record submission must contain sufficient detail to allow a reasonable understanding of the substance of the “for official use only” version. If, for some reason, you cannot produce a public record summary, contact the investigation case manager (see contact details on Page 1 of this questionnaire).

Declaration

You are required to make a declaration that the information contained in the GOC's response is complete and correct. You must return the signed declaration of an authorised GOC official at last section of this questionnaire with the GOC's response.

Consultants/parties acting on your behalf

If you intend to have another party acting on your behalf please advise the Commission of the relevant details.

The Commission will require a written authorisation from the GOC for any party acting on its behalf.

Provision of documents

When providing documents, please indicate whether the documents:

- are currently in force;
- were in force during the investigation period; or
- have been repealed, revised or superseded.

Where the documents have been repealed, revised or superseded;

- indicate when this revision occurred;
- provide any notice of repeal;
- provide the revised version;
- provide the document that supersedes the requested document; and
- indicate whether the revised version was in force during the investigation period.

Responses to questions should:

- be as accurate and complete as possible, and attach all relevant supporting documents, even where not specifically requested in this questionnaire;
- be in English (with fully translated versions of all requested and other applicable documents submitted);
- list your source(s) of information for each question;
- identify all units of measurement used in any tables, lists and calculations;
- show any amounts in the currency in which they were originally denominated.

Please note that answers such as: "Not Applicable" or an answer that only refers to an exhibit or an attachment may not be considered by the Commission to be adequate. We therefore suggest that in answering the questions you outline the key elements of your response in the primary submission document, rather than merely pointing to supporting documents of varying degrees of relevance and reliability as your answer.

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Lodgement

Lodgement by email is preferred. The email address for lodgement is shown on the front cover of this questionnaire. If you lodge by email, you are still required to provide a “for official use only” and “public record” version of your submission by the due date.

You may also lodge your response by mailing it to the address shown on the front cover of this questionnaire. For questions requiring a response in a Microsoft Excel spreadsheet that cannot be emailed, please provide those spread sheets on a CD-ROM or on a USB device.

7. Future questions and verification

Please note that after receiving the GOC response to this questionnaire, the Commission may seek additional information from the GOC.

The Commission may also seek to carry out a visit to the GOC to examine relevant records and to verify the information provided. You will be contacted in advance of such a meeting to make arrangements.

SECTION A: GENERAL QUESTIONS

1. Describe the nature and structure of the rod in coil industry in China. Without limiting your response, include details of any government involvement in the rod in coils industry including upstream raw materials (i.e. coking coal, coke, iron ore and scrap steel). The response should include details of:
 - (a) distribution channels
 - (b) any vertical integration
 - (c) any changes over the last 5 years (such as mergers and acquisitions)
 - (d) any changes to the government laws and regulations after 1 January 2011
2. At all levels of government (central, provincial, regional, municipal, special economic zone (SEZ), etc.) identify the names of the government departments, bureaus or agencies that are responsible for the administration of any the GOC measures concerning the rod in coils and / or the iron and steel industry.

Include information relating to the following areas:

- supervision of rod in coils , State-invested enterprise (SIE) senior management and administration;
- consolidation of domestic rod in coils and/or iron and steel producers;
- industrial policy and guidance on the rod in coils and/or the iron and steel sectors;
- market entry criteria for the rod in coils and/or the iron and steel industry;
- environmental enforcement for the rod in coils and /or iron and steel industry;
- management of land utilization;
- banking regulations in relation to rod in coils and /or the iron and steel industry;
- investigation and inspection of rod in coils manufacturing facilities;
- the section in the National Development and Reform Commission that is responsible for the rod in coils and / or the iron and steel sector;
- import licensing for raw materials used in rod in coils manufacture;
- export regulations, export licensing, "guidance prices", free trade export zones, etc.; and
- taxation - especially export taxes; export tax rebates and value added tax (including any rebates).

Ensure that your response includes contact information regarding the government officials responsible for the listed areas listed along with their full mailing addresses, phone numbers, email addresses and fax numbers.

3. Describe the ownership structure of the Chinese rod in coils industry, identifying what proportion of the industry is represented by SIEs, foreign-invested enterprises (FIEs), and Chinese domestic-owned private enterprises.

For each business where the GOC is a shareholder in the business, provide the name and percentage GOC ownership of the enterprise.

4. Complete the attached spreadsheet A-4 (using Microsoft Excel format) listing all manufactures/traders of rod in coils and upstream raw material (steel billet, coking coal, coke, iron ore and scrap steel) providers in China including the following details:

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- (i) name of the business entity;
- (ii) location of the business entity;
- (iii) function of the business (e.g. manufacturer, trader, exporter);
- (iv) type of business (e.g. State invested enterprise (SIE), Foreign invested enterprise (FIE), private enterprise or other (please specify));
- (v) whether the business is a manufacturer of rod in coils ;
- (vi) production quantity of rod in coils;
- (vii) Value of total benefit provided to each company
- (viii) whether GOC is a shareholder in the business, and
- (ix) if so the percentage of GOC holdings; and
- (x) whether there is GOC representation in the business, and if so the type of representation (e.g. on the Board of Directors), the authority responsible, and indicate any special rights provided to the representative (e.g. veto rights)

For each business where the GOC is a shareholder and/or there is the GOC representations in the business provide:

- (i) the complete organisational structure, including subsidiaries and associated businesses; and
- (ii) copies of annual reports of the business for the last 2 years.

5. Which industry associations represent rod in coils manufacturers?

Please provide names, address and contact details including their websites of the relevant industry associations. Include all national, provincial and regional producer organisations that represent the interests of rod in coils manufacturers and traders in China.

6. The GOC Questionnaire Response to INV 277 (hollow structural sections - alloy) identified the China Iron and Steel Association (CISA) as the relevant industry association that represents hollow structural sections manufacturers. Indicate whether the CISA also represent any of the following sectors:

- a) iron ore and coking coal, miners, billet manufacturers, importers and traders;
- b) coke producers, importers and traders; and/or
- c) scrap metal producers, importers and traders.

If there are other industry associations that represent the above business types, provide names, address and contact details including the websites of the relevant industry association.

SECTION B: SUBSIDIES

The applicant alleges that producers of rod in coils in China have benefited from a number of subsidies granted by the GOC, and that these subsidies are countervailable.

Table 1 below lists all the alleged countervailable subsidy programs for rod in coils that are being investigated.

Note: the below titles of programs are to the best of the Commission's knowledge and in some cases may simply be descriptions of the program. Consequently, the below titles may not exactly reflect any official titles that the GOC has in place.

In responding to this questionnaire, if the GOC is unfamiliar with the title given to a program, but is aware of the existence of a similar program or one that it appears is being referred to, please identify this (including providing the official title of any such program) and respond to the questionnaire in relation to that program.

The GOC is requested to provide information on each program, regardless of the year the benefit was granted by the GOC or the year that the benefit was received by the recipient company, as well as those further identified by the GOC, where the program benefits impact on the production and sale of rod in coils during the investigation period.

TABLE 1: PROGRAMS UNDER INVESTIGATION

The following are programs that the Commission is currently investigating:

Category	Program (number and description)
Part B-1: Provision of goods (Programs 1-4)	1. Billet provided by the Government of China at less than adequate remuneration
	2. Coking coal provided by the Government of China at less than adequate remuneration
	3. Coke provided by the Government of China at less than adequate remuneration
	4. Electricity provided by the Government of China at less than adequate remuneration
Part B-2: Preferential tax policies (Programs 5-9)	5. Preferential Tax Policies for High and New Technology Enterprises
	6. Preferential Tax Policies in the Western Regions
	7. Land Use Tax Deduction
	8. Tariff and VAT Exemptions on Imported Materials and Equipment
	9. VAT refund on comprehensive utilisation of resources
Part B-3: Financial grants (Programs 10-42, 47, 48, 50, 56, 57 and 62)	10. One-time Awards to Enterprises Whose Products Qualify for "Well-Known Trademarks of China" and "Famous Brands of China"
	11. Matching Funds for International Market Development for small and medium size enterprises (SMEs)
	12. Superstar Enterprise Grant
	13. Research and Development (R&D) Assistance Grant
	14. Patent Award of Guangdong Province
	15. Innovative Experimental Enterprise Grant
	16. Special Support Fund for Non-State-Owned Enterprises
	17. Venture Investment Fund of Hi-Tech Industry
	18. Grants for Encouraging the Establishment of Headquarters and

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Category	Program (number and description)
	Regional Headquarters with Foreign Investment
	19. Grant for Key Enterprises in Equipment Manufacturing Industry of Zhongshan
	20. Water Conservancy Fund Deduction
	21. Wuxing District Freight Assistance
	22. Huzhou City Public Listing Grant
	23. Huzhou City Quality Award
	24. Huzhou Industry Enterprise Transformation & Upgrade Development Fund
	25. Wuxing District Public List Grant
	26. Anti-dumping Respondent Assistance
	27. Technology Project Assistance
	28. Transformation technique grant for rolling machine
	29. Grant for Industrial enterprise energy management - centre construction demonstration project Year 2009
	30. Key industry revitalization infrastructure spending in 2010
	31. Provincial emerging industry and key industry development special fund
	32. Environmental protection grant
	33. Environmental protection fund
	34. Intellectual property licensing
	35. Financial resources construction - special fund
	36. Reducing pollution discharging and environment improvement assessment award
	37. Grant for elimination of out dated capacity
	38. Grant from Technology Bureau
	39. High and New technology Enterprise Grant
	40. Independent Innovation and High Tech Industrialization Program
	41. Environmental Prize
	42. Jinzhou District Research and Development Assistance Program
	47. Energy Saving Grants
	48. Technology Development Grants
	50. Other Government Grants/Subsidies
	56. Saving technological transformation items (Head Subsidy)
	57. Environmental Protection Project Grants
	62. Other Grants/Subsidies
Part B-4: Equity programs (Programs 43-45)	43. Debt for equity swaps
	44. Equity infusions
	45. Unpaid dividends
Part B-5: Preferential loans	46. Preferential loans and interest rates
Part B-6: Miscellaneous programs (Programs 49 – 55 & 58 – 62)	49. Land Acquisition Compensation
	50. Other Government Grants
	51. Other rebates (Government Grants)
	52. Interest (Financial) discount
	53. The 43.3 thousand yuan investment in the Environmental Special

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Category	Program (number and description)
	Protection Fund
	54. The 13.4 thousand yuan investment in the Environmental Special Protection Fund
	55. The 62.28 million yuan investment in the Environmental Special Protection Fund
	58. "Provincial key industrial restructuring and revitalization project special boot funds"
	59. "Financial assistance"
	60. "Development of special guide funds"
	61. "Investment cooperation agreement Award Jiangsu Huaian Qingpu Industrial Park"
	62. "Other grants"

If the GOC, any of its agencies, or any other authorised non-governmental body provides any other assistance programs not referred to in table one (including market development assistance programs or any domestic support programs related to the manufacture of subject goods) to manufacturers of rod in coils in China, identify these program(s). Such assistance programs are those that constitute a subsidy as defined in the attached Glossary of Terms.

PART B-1 GENERAL INFORMATION FOR ALL PROGRAMS

The GOC is requested to provide the information requested for each of the programs identified above and any additional programs the GOC has identified. In addition, please respond to the program-specific information requested.

1. For all programs under investigation and any other program/s identified by the GOC provide any amendments to law, regulations or policy that makes a particular program redundant for this investigation. Provide all documentary evidence.

PART B-2 PROGRAMS FOR PROVISION OF INPUTS AT LESS THAN ADEQUATE REMUNERATION

The applicant claims that public bodies (in the form of state-invested enterprises (SIEs²)) are supplying raw materials (steel billet, coking coal, coke, electricity), directly or indirectly, to manufacturers of rod-in-coils at less than fair value:

Program 1: Billet provided by the Government of China at less than adequate remuneration

Program 2: Coking coal provided by the Government of China at less than adequate remuneration

Program 3: Coke provided by the Government of China at less than adequate remuneration

Program 4: Electricity

In relation to these programs, provide the following information:

² For the purpose of this questionnaire State Owned enterprise (SOE) and State Invested Enterprise (SIE) are together referred to as SIE. The term SIE is defined in the glossary of this questionnaire.

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2. In INV 277, the Commission was provided with a copy of the 'Law of the People's Republic of China on State-Owned Assets of Enterprises'. Confirm whether this law is current and has not been superseded or supplemented by other laws. Provide any superseding or supplementary laws to the 'Law of the People's Republic of China on State-Owned Assets of Enterprises'.
3. Outline how each of the following is determined for the entities identified in Section A, Question 6 above **and** for manufacturers of rod in coils in China (where this differs across enterprise or type (e.g. SIEs, FIEs), describe this differently):
 - (a) suppliers of raw material inputs (including any restrictions as to what entities can supply raw materials);
 - (b) purchase prices of raw material inputs;
 - (c) allocation of inputs into production process, including raw materials and labour costs;
 - (d) quality by volume and value;
 - (e) selling prices;
 - (f) customers (including restrictions on entities that can purchase goods produced from the enterprise);
 - (g) production output (detail any restrictions on production output);
 - (h) safety standards; and
 - (i) electricity/energy costs.
4. For programs 1–4, listed above, please complete the attached spreadsheet B-2 (using Microsoft Excel format) listing all electricity providers and spreadsheet B-3 listing all other key raw materials suppliers who service those entities identified in question A-4 including the following details:
 - a. name of the business entity
 - b. location of the business entity
 - c. type of business (e.g. State invested enterprise (SIE), Foreign invested enterprise (FIE), private enterprise or other (please specify))
 - d. whether GOC is a shareholder in the business, and if so the percentage of GOC holdings
 - e. whether there is GOC representation in the business, and if so the type of representation (e.g. on the Board of Directors), the authority responsible, and indicate any special rights provided to the representative (e.g. veto rights)
5. In relation to program 4, The Commission understands that China's National Development and Reform Council regulate prices for electricity.
 - (a) How does the government regulate electricity prices at national, provincial or local levels?

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- (b) Provide names of all the agencies in each region, province or special economic zone responsible for electricity price regulation.
 - (c) How does the government's electricity policy apply to or promote the steel manufacturing industry?
6. For programs 1 – 4, please provide full details of the following:
- (a) policy objective and/or purpose of the program
 - (b) legislation under which the subsidy is granted
 - (c) nature or form of the subsidy
 - (d) when the program was established
 - (e) duration of the program
 - (f) how the program is administered and explain how it operates
 - (g) to whom and how is the program provided
 - (h) the eligibility criteria in order to receive benefits under the program
7. Please provide translated copies in English of the decrees, laws and regulations relating to the program and any reports published since 1 January 2011 pertaining to Programs 1, 2 and 3.
8. Please identify and explain the types of records maintained by the relevant government or governments (e.g. accounting records, company-specific files, databases, budget authorizations, etc.) regarding the program.
9. Please identify which of the companies in your response to Section A - Question 4 applied for, accrued or received benefits under programs 1, 2 and 3 during the investigation period.
10. Provide, on an annual basis, the value and/or nature of the benefit or concession granted (monetary and/or non-monetary) under programs 1 to 4.

PART B-3 OTHER SUBSIDY PROGRAMS

The questions in this part relate to programs 5 to 62 as listed below.

- Program 5:** Preferential Tax Policies for High and New Technology Enterprises
- Program 6:** Preferential Tax Policies in the Western Regions
- Program 7:** Land Use Tax Deduction
- Program 8:** Tariff and VAT Exemptions on Imported Materials and Equipment
- Program 9:** VAT refund on comprehensive utilisation of resources
- Program 10:** One-time Awards to Enterprises Whose Products Qualify for "Well-Known Trademarks of China" and "Famous Brands of China";
- Program 11:** Matching Funds for International Market Development for small and medium size enterprises (SMEs)
- Program 12:** Superstar Enterprise Grant
- Program 13:** Research and Development (R&D) Assistance Grant
- Program 14:** Patent Award of Guangdong Province
- Program 15:** Innovative Experimental Enterprise Grant
- Program 16:** Special Support Fund for Non-State-Owned Enterprises

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- Program 17:** Venture Investment Fund of Hi-Tech Industry
- Program 18:** Grants for Encouraging the Establishment of Headquarters and Regional Headquarters with Foreign Investment
- Program 19:** Grant for Key Enterprises in Equipment Manufacturing Industry of Zhongshan
- Program 20:** Water Conservancy Fund Deduction
- Program 21:** Wuxing District Freight Assistance
- Program 22:** Huzhou City Public Listing Grant
- Program 23:** Huzhou City Quality Award
- Program 24:** Huzhou Industry Enterprise Transformation & Upgrade Development Fund
- Program 25:** Wuxing District Public List Grant
- Program 26:** Anti-dumping Respondent Assistance
- Program 27:** Technology Project Assistance
- Program 28:** Transformation technique grant for rolling machine
- Program 29:** Grant for Industrial enterprise energy management - centre construction demonstration project Year 2009
- Program 30:** Key industry revitalization infrastructure spending in 2010
- Program 31:** Provincial emerging industry and key industry development special fund
- Program 32:** Environmental protection grant
- Program 33:** Environmental protection fund
- Program 34:** Intellectual property licensing
- Program 35:** Financial resources construction - special fund
- Program 36:** Reducing pollution discharging and environment improvement assessment award
- Program 37:** Grant for elimination of out dated capacity
- Program 38:** Grant from Technology Bureau
- Program 39:** High and New technology Enterprise Grant
- Program 40:** Independent Innovation and High Tech Industrialization Program
- Program 41:** Environmental Prize
- Program 42:** Jinzhou District Research and Development Assistance Program
- Program 43:** Debt for equity swaps
- Program 44:** Equity infusions
- Program 45:** Unpaid dividends
- Program 46:** Preferential loans and interest rates
- Program 47:** Energy Saving Grants³
- Program 48:** Technology Development Grants⁴
- Program 49:** Land Acquisition Compensation⁵
- Program 50:** Other Government Grants/Subsidies⁶
- Program 51:** Other rebates (Government Grants)⁷
- Program 52:** Interest (Financial) discount⁸
- Program 53:** The 43.3 thousand yuan investment in the Environmental Special Protection Fund⁹

³ Refer page 135 of the 2014 Hunan Valin Annual Report, translated from the Chinese phrase: “节能环保 (与资产相关)” and page 141 for the phrase “节能环保 (与收益相关)”.

⁴ Refer page 135 of the 2014 Hunan Valin Annual Report, translated from the Chinese phrase: “技术开发 (与资产相关)” and page 141 for the phrase “技术开发 (与收益相关)”.

⁵ Refer page 135 of the 2014 Hunan Valin Annual Report, translated from the Chinese phrase: “征地补偿 (与资产相关)”.

⁶ Refer page 135 of the 2014 Hunan Valin Annual Report, translated from the Chinese phrase: “其他 [政府补助] (与资产相关)” and page 141 for the phrase “与收益相关 (其他与收益相关的政府补助)”.

⁷ Refer page 141 of the 2014 Hunan Valin Annual Report, translated from the Chinese phrase: “其他退税”

⁸ Refer page 141 of the 2014 Hunan Valin Annual Report, translated from the Chinese phrase: “财政贴息”

Program 54: The 13.4 thousand yuan investment in the Environmental Special Protection Fund¹⁰

Program 55: The 62.28 million yuan investment in the Environmental Special Protection Fund¹¹

Program 56: Saving technological transformation items (Head Subsidy)¹²

Program 57: Environmental Protection Project Grants¹³

Program 58: Provincial key industrial restructuring and revitalization project special Boot funds¹⁴

Program 59: Financial Assistance¹⁵

Program 60: Development of special guide funds¹⁶

Program 61: Investment cooperation agreement Award Jiangsu Huaian Qingpu Industrial Park¹⁷

Program 62: Other Grants¹⁸

11. Provide full details of the programs including the following:

- (a) policy objective and/or purpose of the program.
- (b) legislation under which the subsidy is granted.
- (c) nature or form of the subsidy.
- (d) when the program was established.
- (e) duration of the program.
- (f) how the program is administered and explain how it operates.
- (g) to whom and how is the program provided.
- (h) the GOC department or agency administering the program.
- (i) the eligibility criteria in order to receive benefits under the program.

⁹ Refer page 29 of the 2014 Hunan Valin Annual Report, translated from the Chinese phrase: “华菱湘钢2014年全年累计投入环保专项资金4.33亿元”

¹⁰ Refer page 29 of the 2014 Hunan Valin Annual Report, translated from the Chinese phrase: “华菱涟钢2014年全年累计投入环保专项资金1.34亿元”

¹¹ Refer page 29 of the 2014 Hunan Valin Annual Report, translated from the Chinese phrase: “华菱钢管2014年全年累计投入环保专项资金6,228万元”

¹² Refer page 117 of the 2014 Jiangsu Shagang Annual Report, translated from the Chinese phrase: “节能技术改造项目补助”.

¹³ Refer page 117 of the 2014 Jiangsu Shagang Annual Report, translated from the Chinese phrase: “环保项目补助”.

¹⁴ Refer page 122 of the 2014 Jiangsu Shagang Annual Report, translated from the Chinese phrase: “省重点产业调整和振兴项目专项引导资金”.

¹⁵ Refer page 122 of the 2014 Jiangsu Shagang Annual Report, translated from the Chinese phrase: “财政补助”.

¹⁶ Refer page 122 of the 2014 Jiangsu Shagang Annual Report, translated from the Chinese phrase: “发展专项引导资金（淮安市财政局和商务局—淮财工贸[2013]39号）”.

¹⁷ Refer page 122 of the 2014 Jiangsu Shagang Annual Report, translated from the Chinese phrase: “江苏淮安工业园清浦工业园管理委员会签署的投资合作协议书奖励 —（淮商财[2013]204号）拨付2013年度淮安市商务”.

¹⁸ Refer page 122 of the 2014 Jiangsu Shagang Annual Report, translated from the Chinese phrase: “其他补助 —与收益相关”.

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12. Provide translated copies in English of the decrees, laws and regulations relating to the programs and any reports pertaining to the programs.
13. Identify and explain the types of records maintained by the relevant government or governments (e.g. accounting records, company-specific files, databases, budget authorizations, etc.) regarding the program.
14. Identify all companies that accrued or received benefits under the programs during the investigation period. Include the following details in the spreadsheet provided as B-7 (or in a Microsoft Excel compatible format):
 - (a) the business' address (including the city, province and region);
 - (b) the ownership structure of the business, including indirect ownership through associated companies (i.e. SIE, private, co-operative, FIE or joint venture);
 - (c) if the business is not an SIE, whether it is otherwise associated with the GOC;
 - (d) whether the entity produces Rod-in-coils

Provide on an annual basis the value and/or nature of the benefit or concession granted (monetary and/or non-monetary) under the programs.

15. For each entity identified in your response to Question 7 above that is an SIE, answer the following questions regarding their performance and profits.
 - (a) How are the operations of the enterprise funded?
 - (b) Provide details of any debts or other liabilities the enterprise has with any banks or financial institutions in which the GOC holds an interest.
 - (c) How is the performance of the enterprise measured? For example, profitability, employment, output, social wellbeing, etc.
 - (d) Provide details and explain how the State-owned Assets Supervision and Administration Commission of the State Council (SASAC) or any other government entity inspects or evaluates enterprise performance, including:
 - output and quality performance;
 - performance of employees/directors/managers; and
 - financial performance.

If any other GOC entity plays such a role, provide a detailed explanation of this entity and the role it plays with regard to SIEs.

 - (e) Provide details of any official reporting mechanisms that the enterprise must comply with.
 - (f) Provide an explanation of the systems that exist for assessing the performance of administrators of SIEs. Provide examples of recent appraisals of SIE administrators of the enterprise.
 - (g) How are profits of the enterprise distributed and to whom?

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- (h) Outline what action, if any, is taken by SASAC or any other government entity if the enterprises makes a loss or under-performs.
 - (i) Over the past 10 years, has the GOC provided any payment or made any injection of funds to the enterprise, including but not limited to:
 - grants;
 - prizes;
 - awards;
 - stimulus payments and rescue type payments;
 - injected capital funds;
 - purchasing of shares.
 - (j) If so, provide details, indicating the amount, circumstance, and purpose of any such payment or injection of funds, as well as whether they were tied to any past or future performance, direction or action of the enterprise.
16. For each entity identified in Question 7 above, answer the following questions regarding enterprise functions:
- (a) Provide a list of functions the enterprise performs.
 - (b) Provide details of any government policies the enterprise administers or carries out on behalf of the GOC.
 - (c) Indicate whether any of the enterprise's functions are considered to be governmental in nature.
 - (d) Indicate whether the enterprise has been trusted, tasked, vested with any government authority. Provide details of this authority including how it is exercised or administered, as well as copies of relevant statutes or other legal instruments that vest this authority.
 - (e) Indicate whether the enterprise has the authority or power to entrust or direct a private body to undertake responsibilities or functions.
 - (f) Explain whether the enterprise is in pursuit of, or required to support governmental policies or interests.
 - (g) Provide examples of any 'social responsibilities' the enterprise undertakes or is involved in (reference is made to Article 17 of the Law on State Owned Assets)?
17. Describe the application process (including any application fees charged by the government agency or authority) for the program.
- After an application is submitted, describe the procedures by which an application is analysed and eventually approved or disapproved.
18. Answer the following questions regarding eligibility for and actual use of the benefits provided under this program.
- (a) Is eligibility for, or actual use of this program contingent, whether solely or as one of several other conditions, upon export performance? If so, please describe.

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- (b) Is eligibility for this program contingent, whether solely or as one of several other conditions, upon the use of domestic over imported goods? If so, please describe.
 - (c) Is eligibility for the subsidy limited to enterprises or industries located within designated regions? If so, specify the enterprises or industries and the designated regions.
 - (d) Is eligibility limited, by law, to any enterprise or group of enterprises, or to any industry or group of industries? If so, describe and specify the eligible enterprises or industries.
 - (e) Provide any contractual agreements between the GOC and the companies that are receiving the benefits under the program (e.g., loan contracts, grant contracts, etc.).
19. Provide the total amounts of benefits received by each type of industry in each region in the year the provision of benefits was approved and each of the years from 1 January 2011 to 30 June 2015.
20. For all programs listed in Table 1, describe any anticipated changes in the program. Provide documentation substantiating your answer. If the program has been terminated, state the last date that a company could apply for or claim benefits under the program. When is the last date that a company could receive benefits under the program?

DECLARATION

DECLARATION

The undersigned certifies that all information supplied herein in response to the questionnaire (including any data supplied in an electronic format) is complete and correct to the best of his/her knowledge and belief.

Date

Signature of authorised official

Name of authorised official

Title of authorised official

GLOSSARY OF TERMS

This glossary is intended to provide you with a basic understanding of technical terms that appear in the questionnaire.

Enterprise

"Enterprise" includes a group of enterprises, an industry and a group of industries.

Government of China (GOC)

For the purposes of this questionnaire, GOC refers to all levels of government, i.e., central, provincial, regional, city, special economic zone, municipal, township, village, local, legislative, administrative or judicial, singular, collective, elected or appointed.

It also includes any person, agency, enterprise, or institution acting for, on behalf of, or under the authority of any law passed by, the government of that country or that provincial, state or municipal or other local or regional government.

Special Economic Zone (SEZ)

Refers to a Special Economic Area, Economic and Technical Development Zone, Bonded Zone, Export Processing Zone, High Technology Industrial Development Zone, or any other designated area where benefits from the GOC (including central, provincial, municipal or county government) accrue to a company because of being located in such an area.

State Invested Enterprises (SIE)

For the purposes of this questionnaire, SIE refers to any company or enterprise that is wholly or partially owned by the GOC as defined above (either through direct ownership or through association) including.

- 'enterprises with state investment'
- 'state-owned assets'
- 'state-invested enterprises'
- 'enterprises under the supervision of SASAC'

For the purposes of this questionnaire, SIE refers to any and all of the above types of enterprises.