Dumping Commodity Register

Silicon Metal
Exported from the People’s Republic of China

<table>
<thead>
<tr>
<th>Document release date</th>
<th>Brief description of change(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>4 June 2015</td>
<td>Interim dumping and countervailing duties imposed.</td>
</tr>
<tr>
<td>14 December 2015</td>
<td>Amendments to interim countervailing duties for all other exporters.</td>
</tr>
<tr>
<td>1 May 2018</td>
<td>Adjustment to duty assessment importation periods</td>
</tr>
<tr>
<td>28 April 2020</td>
<td>Department name change</td>
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**Note:**
DCRs notify the outcomes of finalised investigations and should be read in conjunction with any relevant current investigations, such as reviews and inquiries. Please refer to the Cases page on the website to locate any current information for this commodity.
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<tr>
<td>1. What countries do measures apply to?</td>
<td>Measures currently apply to goods from the People’s Republic of China (China). On 3 June 2015, with an effective date of 4 June 2015, anti-dumping measures in the form of interim dumping duties (IDD) and interim countervailing duties (ICD) were imposed on silicon metal exported from China. For more information please refer to the Final Report REP 237 and Anti-dumping Notice 2015/71.</td>
</tr>
</tbody>
</table>
| 2. What types of silicon metal are subject to anti-dumping measures?    | **The goods subject to measures include:**  
  • Silicon metal containing at least 96.00 per cent but less than 99.99 per cent silicon by weight, and  
  • Silicon metal containing between 89.00 per cent and 96.00 per cent silicon by weight that contains aluminium greater than 0.20 per cent by weight, of all forms (i.e. lumps, granules, or powder) and sizes.  
  For more information about the description of the goods subject to measures, please refer to the Final Report REP 237. |
| 3. How much Interim Dumping Duties (IDD) and Interim Countervailing Duties (ICD) will an importer have to pay? | **IDD – all exporters**  
IDD is in the form of an ad valorem measure. The total IDD liability is calculated by multiplying the dumping export price (DXP) by the applicable IDD ad valorem duty rate.  
**ICD – all exporters**  
The ICD liability is calculated by multiplying the DXP by the ICD ad valorem duty rate. |
| 4. Example of how to calculate the Interim Dumping Duties (IDD) and Interim Countervailing Duties (ICD) liability | **Ad Valorem measures**  
The following is an example of how to calculate the IDD liability.  
• DXP = AUD $1,000 (Free-On-Board (FOB), cash)  
• IDD ad valorem rate = 10%  
• ICD ad valorem rate = 15% |
### 4. Example of how to calculate the IDD and ICD liability (continued)

<table>
<thead>
<tr>
<th><strong>Total IDD liability</strong></th>
<th><strong>Total ICD liability</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>DXP ($1,000) multiplied by the IDD ad valorem rate (10%) = $100</td>
<td>DXP ($1,000) multiplied by the countervailing duty rate (15%) = $150</td>
</tr>
</tbody>
</table>

### 5. What is the dumping export price (DXP) and how do I calculate it?

The DXP refers to the actual export price of the exported goods. The DXP should reflect the total export (invoice) price of the goods being entered, not the unit price of the goods.

**The DXP should be recorded on the relevant Full Import Declaration for the goods in the same terms as the export terms for the goods. Calculating the DXP may involve adjusting the actual export invoice price of the goods to the terms specified.**

For example, if the AEP terms are “FOB, cash” and the actual invoice terms of the goods are “CIF, 60 days, packed”, the following adjustments will need to be made in order to calculate the DXP at FOB, cash level.

- **step 1** - an adjustment for interest on credit terms will need to be made; and
- **step 2** - the overseas freight and insurance components will need to be subtracted from the export invoice price.

Where suitable evidence cannot be proffered by the importer of the interest rate, then 1.0% per month (0.033% per day) should be applied to the actual invoice price credit terms.

An example of adjusting for credit and incoterms is provided below:

- Invoice terms = CIF, 60 days
- Specified terms = FOB, cash
- Export price - invoice amount = $1,000
- Marine insurance and ocean freight amount = $100

**Step 1 - adjust for credit terms**

1. 1% per month interest rate should be applied to the invoiced price credit terms (i.e. 30 days = 1%)
2. If the invoiced CIF, 60 days price is $1,000, then the CIF, cash price = $980 ($1,000 less 2%)

**Step 2 - adjust for incoterms**

3. Adjust for freight and insurance to calculate DXP at FOB, cash equivalent
4. CIF, cash price of $980 minus freight and insurance amount of $100 = $880
5. The DXP price = $880 FOB, cash
6. What information is needed to complete an import declaration for goods subject to measures?

The information required by an importer or Customs broker to complete an import declaration for goods subject to IDD/DSA is:

- DXP;
- Dumping Specification Number (DSN) or exemption type (where appropriate);
- Country (this is usually country of origin or export country);
- Tariff classification and statistical code;
- Exporter / supplier; and
- Quantity.

Please see below to determine which DSN applies to the exporter of your goods.

7. What DSN do I use for my imported goods and what are the rates for my exporter?

The following DSNs apply to goods exported from China and entered for home consumption on or after 4 June 2015.

<table>
<thead>
<tr>
<th>Exporter</th>
<th>CCID</th>
<th>Description</th>
<th>Measure</th>
<th>IDD Ad Valorem Rate</th>
<th>ICD Ad Valorem Rate</th>
<th>Export Terms</th>
<th>DSN</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hua’an Linan Silicon Industry Co. Ltd</td>
<td>CCE9637766F</td>
<td>Supplied through Xiamen K Metal Co., Ltd</td>
<td>All types IDD &amp; ICD</td>
<td>12.0%</td>
<td>6.3%</td>
<td>FOB, 30 days</td>
<td>28</td>
</tr>
<tr>
<td>Guizhou Liping Linan Silicon Industry Co. Ltd</td>
<td>CCE9637766F</td>
<td>Supplied through Xiamen K Metal Co., Ltd</td>
<td>All types IDD &amp; ICD</td>
<td>12.0%</td>
<td>6.3%</td>
<td>FOB, 30 days</td>
<td>29</td>
</tr>
<tr>
<td>All other Exporters</td>
<td></td>
<td></td>
<td>All types IDD &amp; ICD</td>
<td>20.7%</td>
<td>32.3%</td>
<td>FOB, 30 days</td>
<td>34</td>
</tr>
</tbody>
</table>

Please Note: As duties are in the form of an ad valorem measure, there are no confidential rates.

8. Are there any exporters exempt from anti-dumping measures?

All exporters and suppliers of silicon metal from China are subject to IDD and ICD.

The ‘all other exporters’ rate of IDD and ICD will apply to exported goods unless an importer has evidence that the goods are being supplied either directly by a named exporter or through a linked supplier.

9. How long are the measures in place for?

The measures have been imposed for five years, unless revoked earlier.

In the case of silicon metal from China the measures are in place until 3 June 2020.
10. What tariff classifications and statistical codes are covered by the anti-dumping measures?

Goods subject to measures should be classified using the tariff sub-headings and associated statistical codes below:

<table>
<thead>
<tr>
<th>Tariff subheading</th>
<th>Statistical code</th>
</tr>
</thead>
<tbody>
<tr>
<td>2804.69.00</td>
<td>14</td>
</tr>
</tbody>
</table>

These tariff classifications and statistical codes may apply to goods which are not subject to measures, may change because of amendments to the Working Tariff or the subject goods may be imported under tariff classification numbers that are not listed.

The listing of this tariff classification and statistical code is for convenience or reference only and does not form part of the goods description. Please refer to the goods description for authoritative detail regarding goods subject to measures.

Where importers are unclear on the correct tariff classification of their goods, it is recommended they check the Working Tariff page on the ABF website or contact the ABF on 131 881.

11. What are the duty assessment importation and application period dates?

An importer of goods on which an IDD has been paid, may lodge an application with the Commissioner requesting that the Parliamentary Secretary make an assessment of the final liability of those goods to duty.

This usually occurs when an importer considers that the IDD paid in respect of goods exceed the total amount payable (i.e. importers consider they are entitled to a refund of duties). In relation to IDD, an importer may consider that the dumping margin for the goods is now less than it was during the investigation period, or that its exporter is no longer dumping, and as a result it has paid more duty than it should have paid.

There are a series of time frames fixed by legislation that govern the duty assessment system (referred to as importation periods). The duty assessment importation periods and application dates for silicon metal from China are as follows:

<table>
<thead>
<tr>
<th>Importation Period</th>
<th>Application Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>3 June 2019 – 2 December 2019</td>
<td>3 December 2019 – 2 June 2020</td>
</tr>
<tr>
<td>3 December 2019 – 2 June 2020</td>
<td>3 June 2020 – 2 December 2020</td>
</tr>
</tbody>
</table>

More information about duty assessments is available on the Anti-Dumping Commission website.