# Anti-Dumping Commission

Application for the publication of dumping and/or countervailing duty notices

# APPLICATION UNDER SECTION 269TB OF THE CUSTOMS ACT 1901 FOR THE PUBLICATION OF DUMPING AND/OR COUNTERVAILING DUTY NOTICES

#### **DECLARATION**

	st, in accordance with section 269TB of the <i>Customs Act 1901</i> (the Act) <sup>1</sup> , that ister publish in respect of goods the subject of this application:						
	dumping duty notice, or						
	a countervailing duty notice, or						
	a dumping and a countervailing duty notice.						
the imp	plication is made on behalf of the Australian industry producing like goods to orted goods the subject of this application. The application is supported by an producers whose collective output comprises:						
•	25% or more of the total Australian production of the like goods; and more than 50% of the total production of like goods by those Australian producers that have expressed either support for, or opposition to, this application.						
I believe	e that the information contained in this application:						
•	provides reasonable grounds for the publication of the notice(s) requested; and is complete and correct.						
Please	note that giving false or misleading information is a serious offence.						
Signatu	re:						
Name:							
Position	n:						
Compa	ny:						
ABN:							
Date:							

<sup>&</sup>lt;sup>1</sup> All legislative references are to the *Customs Act 1901*.

#### IMPORTANT INFORMATION

## About this form

Section 269TB(4)(b) of the Act requires that an application under section 269TB of the Act for publication of a dumping duty notice or a countervailing duty notice must be in a form approved by the Commissioner under section 269SMS(1) for those purposes. This is the approved form.

## Signature requirements

Where the application is made:

By a company - the application must be signed by a director, servant or agent acting with the authority of the body corporate.

By a joint venture - a director, employee, agent of each joint venturer must sign the application. Where a joint venturer is not a company, the principal of that joint venturer must sign the application form.

On behalf of a trust - a trustee of the trust must sign the application.

By a sole trader - the sole trader must sign the application.

*In any other case* - contact the Anti-Dumping Commission's (the commission's) Client support section for advice.

NB: Where an application is made by an agent acting with authority on behalf of a company, joint venture, trust or sole trader, an authority to act letter must be provided with this application.

## Assistance with the application

The commission provides a free-of-charge document checking service, available prior to formal lodgement, to assist applicants to ensure that their applications meet the documentary requirements, see "before you apply": <a href="https://www.industry.gov.au/anti-dumping-commission/apply-anti-dumping-or-countervailing-duties-measures">https://www.industry.gov.au/anti-dumping-commission/apply-anti-dumping-or-countervailing-duties-measures</a>

The commission has also published guidelines to assist applicants with the completion of this application: <a href="https://www.industry.gov.au/anti-dumping-commission/apply-anti-dumping-or-countervailing-duties-measures">https://www.industry.gov.au/anti-dumping-commission/apply-anti-dumping-or-countervailing-duties-measures</a>

Small and medium enterprises (i.e., those with less than 200 full-time staff, which are independently operated, and which are not a related body corporate for the purposes of the *Corporations Act* 2001), may obtain assistance, at no charge, from the Department of Industry, Science and Resources' the International Trade Remedies Advisory (ITRA) Service. For more information on the ITRA Service, visit <a href="www.business.gov.au/ITRA">www.business.gov.au/ITRA</a>, email us at <a href="ttra@industry.gov.au">ttra@industry.gov.au</a>, or telephone the ITRA Service Hotline on +61 2 6213 7267.

The Commission's client support section can also provide information about dumping and countervailing procedures and the information required by the application form. Contact the team on:

**Phone**: 13 28 46 or +61 2 6213 6000 (outside Australia)

Email: clientsupport@adcommission.gov.au

Further information is available from the Commission's website at www.adcommission.gov.au.

On page 26 of this application form, the commission lists the appendices referenced in different questions in the form. These appendices should be used to supply information for an application for the publication of dumping and/or countervailing duty notices and can be accessed on the commission's website, here: <u>B108 Appendices</u>

## Important information

To initiate an investigation into dumping and/or subsidisation, the commission must comply with Australia's international obligations and statutory standards. This form provides an applicant industry with a framework to present its case and will be used by the commission to establish whether there appear to be reasonable grounds for the publication of a dumping duty or countervailing duty notice and initiate an investigation. To assist consideration of the application it is therefore important that:

- all relevant questions are answered; and
- information that is reasonably available be supplied.

The commission does not require conclusive evidence to initiate an investigation, but any claims made should be reasonably based. An application will be improved by including supporting evidence and where the sources of evidence are identified. Simple assertion is inadequate to substantiate an application.

To facilitate compilation and analysis, the application form is structured in 3 parts:

- Part A seeks information about the Australian industry. This data is used to evaluate industry trends and assess claims of material injury due to dumping/subsidisation. Where an Australian industry comprises more than one company, each should separately prepare a response to Part A to protect commercial confidentiality.
- 2. Part B relates to evidence of dumping.
- 3. **Part C** is for supplementary information that may not be appropriate to all applications. However some questions in Part C may be essential for an application, for example, if action is sought against subsidisation.

All questions in Parts A and B must be answered, even if the answer is 'Not applicable' or 'None'. Where appropriate, applicants should provide a short explanation about why the requested data is not applicable. This will avoid the need for follow up questions by the commission.

The application form does not specifically address all the information required when making a claim that the establishment of an Australian industry producing like goods has been or may be materially hindered. If you are considering making such a claim, please contact the commission to discuss information requirements.

The application form requests data over several periods (P¹, P²....Pⁿ) to evaluate industry trends and to correlate injury with dumped or subsidised imports. The labels P¹...Pⁿ are used for convenience in this application form. Lodged applications should identify the period relevant to the data. This form does not specify a minimum period for data provision. However, sufficient data must be provided to substantiate the claims made. If yearly data is provided, this would typically comprise a period of at least four years (for example the current financial year in addition to three prior years). Where information is supplied for a shorter period, applicants may consider the use of quarterly data. Data must also be sufficiently recent to demonstrate that the claims made are current.

When an investigation is initiated, the commission will verify the claims made in the application. A verification visit to the Australian industry usually takes several days.

Applicants should be prepared to substantiate all Australian industry financial and commercial information submitted in the application. Any worksheets used in preparing the application should therefore be retained to facilitate verification.

During verification, the commission will examine company records and obtain copies of documents relating to the manufacture and sale of the goods.

#### **Appendices**

Some questions require attachments to be provided. The attachment numbering sequence should refer to the question answered. For example, question A2.2 requests a copy of an organisation chart. To facilitate reference, the chart should be labelled <a href="Attachment A2.2">Attachment A2.2</a>. If a second organisation chart is provided in response to the same question, it should be labelled <a href="Attachment A2.2.2">Attachment A2.2.2</a> (the first would be labelled Attachment A2.2.1).

### Provision of data

Industry financial data must, wherever possible, be submitted in an electronic format.

- The data should be submitted on a media format compatible with Microsoft Windows.
- Microsoft Excel, or an Excel compatible format, is required.
- If the data cannot be presented electronically please contact the Commission's client support section for advice.

## Lodgement of the application

This application, together with the supporting evidence, must be lodged in the manner approved by the Commissioner under subsection 269SMS(2) of the Act. The Commissioner has approved lodgement of this application by:

- email, preferably, using the email address clientsupport@adcommission.gov.au;
- upload to SIGBOX (SIGBOX is our secure online lodgement platform, suitable for large files or attachments - email us to arrange access), or
- post to:

The Commissioner of the Anti-Dumping Commission GPO Box 2013 Canberra ACT 2601

#### Effective immediately:

- Applications are taken to be lodged or received by the Commissioner when it is first received by a commission staff member doing duty in relation to applications.
- Staff members are on duty receiving applications from 9:00am to 5:00pm (AEST or AEDST) on business days that are not an Australian Capital Territory public holiday, or during Annual Closedown\*.

#### **Definitions** in this application:

- AEST means Australian Eastern Standard Time.
- AEDST means Australian Eastern Daylight Savings Time.
- business day means a day that is not a Saturday or Sunday.
- Annual Closedown means the 3 business days the Commission is closed between Christmas Day and New Year's Day.
- \* Public holidays are listed at: <a href="https://www.fairwork.gov.au/employment-conditions/public-holidays">https://www.fairwork.gov.au/employment-conditions/public-holidays</a>

#### **Public Record**

During an investigation all interested parties are given the opportunity to defend their interests by making a submission. The commission maintains a public record of these submissions. The public record is available on the commission's website at <a href="https://www.adcommission.gov.au">www.adcommission.gov.au</a>.

At the time of making the application both a confidential version (for official use only) and non-confidential version (public record) of the application <u>must</u> be submitted. Please ensure each page of the application is clearly marked "FOR OFFICIAL USE ONLY" or "PUBLIC RECORD". The non-confidential application should enable a reasonable understanding of the substance of the information submitted in confidence, clearly showing the reasons for seeking the publication of a dumping duty or countervailing duty notice, or, if those reasons cannot be summarised, a statement of reasons why a summary is not possible.

## **PART A**

### **INJURY**

### TO AN AUSTRALIAN INDUSTRY

#### **IMPORTANT**

All questions in Part A should be answered even if the answer is 'Not applicable' or 'None'. If an Australian industry comprises more than one company/entity, each should separately complete Part A.

#### A-1 Identity and communication

Please nominate a person in your company for contact about the application:

Company and position:  Address: Telephone: E-mail address: ABN:  Alternative contact  Name: Position in company: Address: Telephone: E-mail address:  If you have appointed a representative to assist with your application, provide the following details and complete Appendix A8 (Representation).  Name: Business name: Address: Telephone: E-mail address: Telephone: E-mail address: ABN:	Contact Name:	
Telephone: E-mail address: ABN:  Alternative contact  Name: Position in company: Address: Telephone: E-mail address:  If you have appointed a representative to assist with your application, provide the following details and complete Appendix A8 (Representation).  Name: Business name: Address: Telephone: E-mail address:	Company and position:	
E-mail address:  ABN:  Alternative contact  Name: Position in company: Address: Telephone: E-mail address:  If you have appointed a representative to assist with your application, provide the following details and complete Appendix A8 (Representation).  Name: Business name: Address: Telephone: E-mail address:	Address:	
Alternative contact  Name: Position in company: Address: Telephone: E-mail address:  If you have appointed a representative to assist with your application, provide the following details and complete Appendix A8 (Representation).  Name: Business name: Address: Telephone: E-mail address:	Telephone:	
Alternative contact  Name: Position in company: Address: Telephone: E-mail address:  If you have appointed a representative to assist with your application, provide the following details and complete Appendix A8 (Representation).  Name: Business name: Address: Telephone: E-mail address:	E-mail address:	
Name: Position in company: Address: Telephone: E-mail address:  If you have appointed a representative to assist with your application, provide the following details and complete Appendix A8 (Representation).  Name: Business name: Address: Telephone: E-mail address:	ABN:	
Position in company: Address: Telephone: E-mail address:  If you have appointed a representative to assist with your application, provide the following details and complete Appendix A8 (Representation).  Name: Business name: Address: Telephone: E-mail address:	Alternative contact	
Address: Telephone: E-mail address:  If you have appointed a representative to assist with your application, provide the following details and complete Appendix A8 (Representation).  Name: Business name: Address: Telephone: E-mail address:	Name:	
Telephone: E-mail address:  If you have appointed a representative to assist with your application, provide the following details and complete Appendix A8 (Representation).  Name: Business name: Address: Telephone: E-mail address:	Position in company:	
E-mail address:  If you have appointed a representative to assist with your application, provide the following details and complete Appendix A8 (Representation).  Name:  Business name:  Address:  Telephone:  E-mail address:	Address:	
If you have appointed a representative to assist with your application, provide the following details and complete Appendix A8 (Representation).  Name:  Business name: Address: Telephone: E-mail address:	Telephone:	
the following details and complete Appendix A8 (Representation).  Name: Business name: Address: Telephone: E-mail address:	E-mail address:	
Business name: Address: Telephone: E-mail address:		orovide
Address: Telephone: E-mail address:	Name:	
Telephone: E-mail address:	Business name:	
E-mail address:	Address:	
	Telephone:	
ABN:	E-mail address:	
	ABN:	

#### A-2 Company information

- 1. State the legal name of your business and its type (e.g. company, partnership, sole trader, joint venture). Please provide details of any other business names you use to manufacture/produce/sell the goods that are the subject of your application.
- 2. Provide your company's internal organisation chart. Describe the functions performed by each group within the organisation.
- 3. List the major shareholders of your company. Provide the shareholding percentages for joint owners and/or major shareholders.

- 4. If your company is a subsidiary of another company list the major shareholders of that company.
- 5. If your parent company is a subsidiary of another company, list the major shareholders of that company.
- 6. Provide an outline diagram showing major associated or affiliated companies and your company's place within that structure (include the ABNs of each company).
- 7. Are any management fees/corporate allocations charged to your company by your parent or related company?
- 8. Identify and provide details of any relationship you have with an exporter to Australia or Australian importer of the goods.
- 9. Provide a copy of all annual reports applicable to the data supplied in <u>appendix</u> <u>A3</u> (Sales Turnover). Any relevant brochures or pamphlets on your business activities should also be supplied.
- 10. Provide details of any relevant industry association.

#### A-3 The imported and locally produced goods

- 1. Fully describe the imported product(s) the subject of your application:
  - Include physical, technical or other properties.
  - Where the application covers a range of products, list this information for each make and model in the range.
  - Supply technical documentation where appropriate.
- 2. List the tariff classification(s) and statistical code(s) of the imported goods.
- 3. Fully describe your product(s) that are 'like' to the imported product:
  - Include physical, technical or other properties.
  - Where the application covers a range of products, list this information for each make and model in the range.
  - Supply technical documentation where appropriate.
  - Indicate which of your product types or models are comparable to each of the imported product types or models. If appropriate, the comparison can be done in a table.
- 4. Describe the ways in which the essential characteristics of the imported goods are like to the goods produced by the Australian industry.
- 5. What is the Australian and New Zealand Standard Industrial Classification Code (ANZSIC) applicable to your product.

- 6. Provide a summary and a diagram of your production process.
- 7. If your product is manufactured from both Australian and imported inputs:
  - describe the use of the imported inputs; and
  - identify that at least one substantial process of manufacture occurs in Australia (for example by reference to the value added, complexity of process, or investment in capital).
- 8. If your product is a processed agricultural good, you may need to complete Part C-3 (close processed agricultural goods).
- 9. Supply a list of the names and contact details of all other Australian producers of the product.
- 10. If different models can be established for the goods subject to the application:
  - What are the differences in physical characteristics that give rise to distinguishable and material differences in price?
  - Provide supporting documentation or analysis supporting the differences in physical characteristics that affects price comparability. Unit costs may also be used to demonstrate differences in physical characteristics where it affects price comparability.
  - In providing the list of physical differences, identify the characteristics in order of significance.
  - Identify key characteristics where the physical differences are significantly different and it is not meaningful to compare models with different physical characteristics.
  - Identify the physical characteristics that can be reported in relation to sales and cost data respectively. This should be reflected in the sales data provided in appendices A4 and A6.
  - Complete the table below having regard to the information provided above.
     The Commission will consider this information in establishing a model control code structure for the investigation.

Category	Sub-category	Sales Data	Cost data	Key category
[Category]	[Sub-category]	Mandatory/	Mandatory/	Yes/No
	[Sub-category]	Optional	Optional	
[Category]	[Sub-category]	Mandatory/	Mandatory/	Yes/No
	[Sub-category]	Optional	Optional	
[Category]	[Sub-category]	Mandatory/	Mandatory/	Yes/No
	[Sub-category]	Optional	Optional	
[Category]	[Sub-category]	Mandatory/	Mandatory/	Yes/No
	[Sub-category]	Optional	Optional	

#### A-4 The Australian market

1. Describe the end uses of both your product and the imported goods.

- 2. Describe the Australian market for the Australian and imported product and the conditions of competition within the overall market. Your description could include information about:
  - sources of product demand;
  - marketing and distribution arrangements;
  - typical customers/users/consumers of the product;
  - the presence of market segmentation, such as geographic or product segmentation;
  - causes of demand variability, such as seasonal fluctuations, factors contributing to overall market growth or decline, government regulation, and developments in technology affecting either demand or production;
  - the way in which the imported and Australian product compete; and
  - any other factors influencing the market.
- 3. Identify if there are any commercially significant market substitutes for the Australian and imported product.
- 4. Complete <u>appendix A1</u> (Australian production). This data is used to support your declaration at the beginning of this application.
- 5. Complete <u>appendix A2</u> (Australian market).
- 6. Use the data from appendix A2 (Australian market) to complete this table:

Indexed table of sales quantities\*

Period	(a) Your Sales	(b) Other Aust <sup>"</sup> Sales	(c) Total Aust <sup>n</sup> Sales (a+b)	(d) Dumped Imports	(e) Other Imports	(f) Total Imports (d+e)	Total Market (c+f)
P1* P2 P3 P4 P <sup>n</sup>	100	100	100	100	100	100	100

<sup>\*</sup>Data index for first period commences with 100. Provide sufficient periods to substantiate your application. P1 –  $P^n$  are used as labels for a generic application form. Please specify the period relevant to your application (such as 200X or Q1/0X).

#### A-5 Applicant's sales

- 1. Complete <u>appendix A3</u> (sales turnover).
- 2. Use the data from appendix A3 (sales turnover) to complete these tables.

Indexed table of Applicant's sales quantities\*

P1*	P2	P3	P4	Pn
100				
100				
100				
100				
100				
100				
	100 100 100	100 100 100 100	100 100 100 100 100	100 100 100 100 100

<sup>\*</sup>Index of data. P<sup>n</sup> should be the most recently completed period. P1 – P<sup>n</sup> are used as labels for a generic application form. Please specify the period relevant to your application (such as 200X or Q1/0X).

Indexed table of Applicant's sales values\*

Value	P1*	P2	P3	P4	$\mathbf{P}^{n}$
All products					
Australian market	100				
Export market	100				
Total	100				
Like goods					
Australian market	100				
Export market	100				
Total	100				

<sup>\*</sup>Index of data.  $P^n$  should be the most recently completed period.  $P1 - P^n$  are used as labels for a generic application form. Please specify the period relevant to your application (such as 200X or Q1/0X).

- 3. Complete <u>appendix A5</u> (sales of other production) if you have made any:
  - internal transfers; or
  - domestic sales of like goods that you have not produced, for example if you have imported the product or on-sold purchases from another Australian manufacturer.
- 4. Complete appendix A4 (domestic sales).
- 5. If any of the customers listed at appendix A4 (domestic sales) are associated with your business, provide details of the association. Describe the price effect of the association.
- 6. Attach a copy of distributor or agency agreements/contracts.
- 7. Provide copies of any price lists.

- 8. If any price reductions (for example commissions, discounts, rebates, allowances and credit notes) have been made on your Australian sales of like goods provide a description and explain the terms and conditions that must be met by the customer to qualify.
  - Where the reduction is not identified on the sales invoice, explain how you calculated the amounts shown in appendix A4 (domestic sales).
  - If you have issued credit notes (directly or indirectly) provide details if the credited amount has **not** been reported <u>appendix A4</u> (domestic sales) as a discount or rebate.
- 9. Select two domestic sales in each quarter of the data supplied in <u>appendix A4</u> (domestic sales). Provide a complete set of commercial documentation for these sales. Include, for example, purchase order, order acceptance, commercial invoice, discounts or rebates applicable, credit/debit notes, long or short term contract of sale, inland freight contract, and bank documentation showing proof of payment.
- 10. Provide a list of model control codes from appendix A4.

#### A-6 General accounting/administration information

- 1. Specify your accounting period.
- 2. Provide details of the address(es) where your financial records are held.
- 3. To the extent relevant to the application, please provide the following financial documents for the two most recently completed financial years plus any subsequent statements:
  - chart of accounts:
  - audited consolidated and unconsolidated financial statements (including all footnotes and the auditor's opinion);
  - internal financial statements, income statements (profit and loss reports), or management accounts, that are prepared and maintained in the normal course of business for the goods.

These documents should relate to:

- 1. the division or section/s of your business responsible for the production and sale of the goods covered by the application, and
- 2. the company overall.
- 4. If your accounts are **not** audited, provide the unaudited financial statements for the two most recently completed financial years, together with your taxation returns. Any subsequent monthly, quarterly or half yearly statements should also be provided.

- 5. If your accounting practices, or aspects of your practices, differ from Australian generally accepted accounting principles, provide details.
- 6. Describe your accounting methodology, where applicable, for:
  - the recognition/timing of income, and the impact of discounts, rebates, sales returns warranty claims and intercompany transfers;
  - provisions for bad or doubtful debts;
  - the accounting treatment of general expenses and/or interest and the extent to which these are allocated to the cost of goods;
  - costing methods (eg by tonnes, units, revenue, activity, direct costs etc) and allocation of costs shared with other goods or processes;
  - the method of valuation for inventories of raw material, work-in-process, and finished goods (eg FIFO, weighted average cost);
  - valuation methods for scrap, by-products, or joint products;
  - valuation methods for damaged or sub-standard goods generated at the various stages of production;
  - valuation and revaluation of fixed assets;
  - average useful life for each class of production equipment, the depreciation method and depreciation rate used for each;
  - treatment of foreign exchange gains and losses arising from transactions and from the translation of balance sheet items; and
  - restructuring costs, costs of plant closure, expenses for idle equipment and/or plant shut-downs.
- 7. If the accounting methods used by your company have changed over the period covered by your application please provide an explanation of the changes, the date of change, and the reasons.

#### A-7 Cost information

- 1. Complete <u>appendices A6.1</u> and <u>A6.2</u> (cost to make and sell) for domestic and export sales.
- 2. Provide a list of model control codes from appendix A6.1 and A6.2.

#### A-8 Injury

The principal indicators of injury are price, volume and profit effects – although not all of these must be evident. For this application, profit refers to amounts earned. Profitability is the ratio of profit to sales revenue. Where the application includes a claim of threat of material injury you must also complete question C.2.

- 1. Estimate the date when the material injury from dumped and/or subsidised imports commenced.
- 2. Using the data from <u>appendix A6</u> (cost to make and sell), complete the following tables for each model control code of your production. P<sup>n</sup> is the most recent period.

Index of production variations (model control code)

Period#	1	2	3	4	n
Index*	100				

#Identify the relevant period eg 200X or Q1/0X \*Use data from label A of appendix A6.1

*Index of cost variations (model control code)* 

Period#	1	2	3	4	n
Index*	100				

#Identify the relevant period eg 200X or Q1/0X \*use data from label J of appendix A6.1

Index of price variations (model control code)

Period#	1	2	3	4	n
Index*	100				

#Identify the relevant period eg 200X or Q1/0X \*Use data from label L of appendix A6.1

Index of profit variations (model control code)

Period#	1	2	3	4	n
Index*	100				

#Identify the relevant period eg 200X or Q1/0X \*use data from label M or N (specify as appropriate) of appendix 6.1

Index of profitability variations (model control code)

Period#	1	2	3	4	n
Index*	100				

#Identify the relevant period eg 200X or Q1/0X \*use data from label O of appendix A6.1

3. Complete <u>appendix A7</u> (other injury factors).

Where applicable to injury claims, prepare an indexed table for other injury factor(s) in the format above.

Index of [factor]

Period#	1	2	3	4	n
Index*	100				

#Identify the relevant period eg 200X or Q1/0X \*use data from appendix A7

#### A-9 Link between injury and dumped or subsidised imports

To establish grounds to initiate an investigation there must be evidence of a causal relationship between the injury and the alleged dumping or subsidisation. This section provides for an applicant to analyse the data provided in the application to establish this link. It is not necessary that injury be shown for each economic indicator.

- Identify from the data at <u>appendix A2</u> (Australian market) the influence of the volume of dumped and/or subsidised imports on your quarterly sales volume and market share.
- 2. Use the data at <u>appendix A2</u> (Australian market) to show the influence of the price of dumped and/or subsidised imports on your quarterly prices, profits and profitability provided at <u>appendix A6.1</u> (costs to make and sell). If appropriate, refer to any price undercutting and price depression evident in the market.
- 3. Compare the data at <u>appendix A2</u> (Australian market) to identify the influence of dumped and/or subsidised imports on your quarterly costs to make and sell at <u>appendix A6.1</u> (for example refer to changes in unit fixed costs or the ability to

raise prices in response to material cost increases).

- 4. The quantity and prices of dumped and/or subsidised imported goods may affect various economic factors relevant to an Australian industry. These include, amongst other things, the return on investment in an industry, cash flow, the number of persons employed and their wages, the ability to raise capital, and the level of investment in the industry. Describe, as appropriate, the effect of dumped and/or subsidised imports on these factors and where applicable use references to the data you have provided at appendix A7 (other economic factors). If factors other than those listed at appendix A7 (other economic factors) are relevant, include discussion of those in response to this question.
- 5. Describe how the injury factors caused by dumping and/or subsidisation and suffered by the Australian industry are considered to be 'material'.
- 6. Discuss factors other than dumped and/or subsidised imports that may have caused or may threaten to cause injury to the industry. This may be relevant to the application in that an industry weakened by other events may be more susceptible to injury from dumping and subsidisation.
- 7. This question is not mandatory, but may support your application. Where trends are evident in your estimate of the volume and prices of dumped and/or subsidised imports, forecast their impact on your industry's economic condition. Use the data at <a href="mailto:appendix A2">appendix A2</a> (Australian market), <a href="mailto:appendix A6">appendix A6</a> (cost to make and sell), and <a href="mailto:appendix A7">appendix A7</a> (other economic factors) to support your analysis.

## **PART B**

### **DUMPING**

#### **IMPORTANT**

All questions in Part B should be answered even if the answer is 'Not applicable' or 'None' (unless the application is for countervailing duty only: refer Part C). If an Australian industry comprises more than one company/entity, Part B need only be completed once.

#### **B-1** Source of exports

- 1. Identify the country(ies) of export of the dumped goods.
- 2. Identify whether each country is also the country of origin of the imported goods. If not, provide details.
- 3. If the source of the exports is a non-market economy, or an 'economy in transition' refer to Part C.4 and Part C.5 of the application.
- 4. Where possible, provide the names, addresses and contact details of:
  - producers of the goods exported to Australia;
  - exporters to Australia; and
  - importers in Australia.
- 5. If the import volume from **each** nominated country at <u>Appendix A.2</u> (Australian Market) does not exceed 3% of all imports of the product into Australia refer to Part C.6 of the application.
- 6. In the case of an application for countervailing measures against exports from a developing country, if the import volume from **each** nominated country at Appendix A.2 (Australian Market) does not exceed 4% of all imports of the product into Australia refer to Part C.6 of the application

#### **B-2** Export price

Possible sources of information on export price include export price lists; estimates from the Australian Bureau of Statistics; a deductive export price calculation from the Australian selling price of the imported goods; export sales quotations or invoices; foreign government export trade clearances.

- 1. Indicate the FOB export price(s) of the imported goods. Where there are different model control codes or levels of trade involved, an export price should be supplied for each.
- 2. Specify the terms and conditions of the sale, where known.
- 3. If you consider published export prices are inadequate, or do not appropriately reflect actual prices, please calculate a deductive export price for the goods. Appendix B1 (Deductive Export Price) can be used to assist your estimation.
- 4. It is important that the application be supported by evidence to show how export price(s) have been calculated or estimated. The evidence should identify the source(s) of data.

#### B-3 Selling price (normal value) in the exporter's domestic market

Possible sources of information about domestic selling prices in the country of export include: price lists for domestic sales (with information on discounts); actual quotations or invoices relating to domestic sales; published material providing information on the domestic selling prices; or market research undertaken on behalf of the applicant.

- 1. State the selling price for each model control code of like goods sold by the exporter, or other sellers, on the domestic market of the country of export.
- 2. Specify the terms and conditions of the sale, where known.
- 3. Provide supporting documentary evidence.
- 4. List the names and contact details of other known sellers of like goods in the domestic market of the exporting country.

#### B-4 Estimate of normal value using another method

This section is not mandatory. It need only be completed where there is no reliable information available about selling prices in the exporter's domestic market. Other methods of calculating a normal value include:

- the cost to make the exported goods plus the selling and adminstration costs (as if they were sold in the exporter's domestic market) plus an amount for profit (if applicable);
   OR
- the selling price of like goods from the country of export to a third country.
- 1. Indicate the normal value of the like goods in the country of export using another method (if applicable, use appendix B2 Constructed Normal Value).
- 2. Provide supporting documentary evidence.

#### **B-5** Adjustments

A fair comparison must be made between the export price and the normal value. Adjustments should be made for differences in the terms and circumstances of the sales such as the level of trade, physical characteristics, taxes or other factors that affect price comparability.

- 1. Provide details of any known differences between the export price and the normal value. Include supporting information, including the basis of estimates.
- 2. State the amount of adjustment required for each and apply the adjustments to the domestic prices to calculate normal values. Include supporting information, including the basis of estimates.

#### **Dumping margin** B-6

1.	Subtract the export price from the normal value for each model control code of the goods (after adjusting for any differences affecting price comparability).
2.	Show dumping margins as a percentage of the export price.

## PART C

# SUPPLEMENTARY SECTION

#### **IMPORTANT**

Replies to questions in Part C are not mandatory in all instances, but may be mandatory for certain applications.

#### C-1 Subsidy

This section must be completed where countervailing duties are sought to offset foreign government assistance through subsidies to exporters or producers.

If the application is for countervailing duty alone, the domestic price information required by Part B of the application need not be supplied.

Responses to questions A-9 will need to identify the link between subsidisation and injury.

- 1. Identify the subsidy paid in the country of export or origin. Provide supporting evidence including details of:
  - (i) the nature and title of the subsidy;
  - (ii) the government agency responsible for administering the subsidy;
  - (iii) the recipients of the subsidy; and
  - (iv) the amount of the subsidy.

#### C-2. Threat of material injury

You must complete this section if the application includes a claim that material injury is threatened to an Australian industry because of the exportation of goods into the Australian market.

- Identify the change(s) in circumstances that would make material injury foreseeable and imminent unless dumping or countervailing measures were imposed, for example by having regard to:
  - (i) the rate of increase of dumped/subsidised imports;
  - (ii) changes to the available capacity of the exporter(s);
  - (iii) the prices of imports that will have a significant depressing or suppressing effect on domestic prices and lead to further imports:
  - (iv) inventories of the product to be investigated;
  - (v) for applications claiming subsidisation, the nature of the subsidies in question and the trade effects likely to arise therefrom; or
  - (vi) any other relevant factor(s).
- 2. If appropriate, include an analysis of trends (or a projection of trends) and market conditions illustrating that material injury is both foreseeable and imminent.

#### C-3. Close processed agricultural goods

Where it is established that the like (processed) goods are closely related to the locally produced (unprocessed) raw agricultural goods, then — for the purposes of injury assessment — the producers of the raw agricultural goods form part of the Australian industry. This section is to be completed only where processed agricultural goods are the subject of the application. Applicants are advised to contact the Commission's client support section before completing this section.

- 1. Fully describe the locally produced raw agricultural goods.
- 2. Provide details showing that the raw agricultural goods are devoted substantially or completely to the processed agricultural goods.
- 3. Provide details showing that the processed agricultural goods are derived substantially or completely from the raw agricultural goods.
- 4. Provide information to establish either:
  - a close relationship between the price of the raw agricultural goods and the processed agricultural goods; **or**
  - that the cost of the raw agricultural goods is a significant part of the production cost of the processed agricultural goods.

#### C-4. Exports from a non-market economy

Complete this section only if exports from a non-market economy are covered by the application. The domestic price information required by Part B of the application need not be supplied if this question is answered.

Normal values for non-market economies may be established by reference to selling prices or to costs to make and sell the goods in a comparable market economy country.

- 1. Provide evidence the country of export is a non-market economy. A non-market economy exists where the government has a monopoly, or a substantial monopoly, of trade in the country of export and determines (or substantially influences) the domestic price of like goods in that country.
- 2. Nominate a comparable market economy to establish selling prices.
- 3. Explain the basis for selection of the comparable market economy country.
- Indicate the selling price (or the cost to make and sell) for each model control
  code of the goods sold in the comparable market economy country. Provide
  supporting evidence.

#### C-5 Exports from an 'economy in transition'

An 'economy in transition' exists where the government of the country of export had a monopoly, or substantial monopoly, on the trade of that country (such as per question C-4) and that situation no longer applies.

Complete this section only if exports from an 'economy in transition' are covered by the application. Applicants are advised to contact the Commission's client support section before completing this section

- 1. Provide information establishing that the country of export is an 'economy in transition'.
- A price control situation exists where the price of the goods is controlled or substantially controlled by a government in the country of export. Provide evidence that a price control situation exists in the country of export in respect of like goods.
- 3. Provide information (reasonably available to you) that raw material inputs used in manufacturing/producing the exported goods are supplied by an enterprise wholly owned by a government, at any level, of the country of export.
- 4. Estimate a 'normal value' for the goods in the country of export for comparison with export price. Provide evidence to support your estimate.

#### C-6 Aggregation of Volumes of dumped goods

Only answer this question if required by question B-1.5 of the application and action is sought against countries that individually account for less than 3% of total imports from all countries (or 4% in the case of subsidised goods from developing countries). To be included in an investigation, they must collectively account for more than 7% of the total (or 9% in the case of subsidised goods from developing countries).

	Quantity	%	Value	%
All imports		100%		100%
into Australia				
Country A*				
Country B*				
etc*				
Total				

<sup>\*</sup> Only include countries that account for less than 3% of all imports (or 4% in the case of subsidised goods from developing countries). Use the data at <u>Appendix A.2</u> (Australian Market) to complete the table.

#### **APPENDICES**

Appendix A1 Australian Production

Appendix A2 Australian Market

Appendix A3 Sales Turnover

Appendix A4 Domestic Sales

Appendix A5 Sales of Other Production

Appendix A6.1 Cost to Make and Sell (& profit) Domestic Sales

Appendix A6.2 Cost to Make and Sell (& profit) Export Sales

Appendix A7 Other Injury Factors

Appendix A8 Authority to Deal With Representative

Appendix B1 Deductive Export Price

Appendix B2 Constructed Normal Value